Comorbidity of post-traumatic stress disorder and anxiety in flood survivors
Prevalence and shared risk factors

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Abstract

Post-traumatic stress disorder (PTSD) and anxiety are both prevalent in trauma-related populations. However, comorbidity of these 2 psychiatric disorders has not been investigated in flood survivors. This study aimed to estimate the extent to which PTSD and anxiety co-occur in flood survivors, and identify shared risk factors for PTSD only and comorbidity of PTSD and anxiety.

Individuals who experienced Dongting Lake flood in 1998 were enrolled in this study using stratified and systematic random sampling method. Information on social support, personality traits, PTSD, and anxiety was collected using self-report questionnaires. The intensity of exposure to the flood was measured by some questions. Logistic regression analyses were used to identify factors associated with PTSD only and comorbidity of PTSD and anxiety.

In all, 325 participants were enrolled in this study. The prevalence of PTSD, anxiety, and comorbidity of PTSD and anxiety among survivors of the 1998 Dongting Lake flood at 17-year follow-up was 9.54%, 9.23%, and 6.15%, respectively. Furthermore, 64.52% of those with PTSD had anxiety and 66.67% of those with anxiety had PTSD. Loss of relative, injury of body, damage of house, and emotional instability were shared risk factors for PTSD only and comorbidity of PTSD and anxiety, in comparison with neither PTSD nor anxiety.

Post-traumatic stress disorder only and comorbidity of PTSD and anxiety are prevalent in flood survivors, and are both related to the intensity of exposure to the flood and personality traits, indicating that integrated intervention strategies of PTSD and anxiety for flood survivors are needed.

Abbreviations: CI = confidence interval, DSM = Diagnostic and Statistical Manual of Mental Disorders, EPQ-RSC = Eysenck Personality Questionnaire—Revised, Short Scale for Chinese, N/A = not applicable, OR = odds ratio, PCL-C = PTSD Checklist—Civilian Version, PTSD = post-traumatic stress disorder, SAS = Zung Self-rating Anxiety Scale, SD = standard deviation, SSRS = Social Support Rating Scale.

Keywords: anxiety, comorbidity, flood, post-traumatic stress disorder, risk factor

1. Introduction

Post-traumatic stress disorder (PTSD) is the most prevalent psychiatric disorder in trauma-related populations, followed by anxiety.[1,2] Furthermore, for the type of trauma which may cause long-term effects, such as wars and natural disasters, comorbidity of PTSD and anxiety is the rule rather than the exception. For example, nearly a fifth of the elderly survivors of the Wenchuan earthquake met the criteria for both PTSD and anxiety,[3] and in Northern Uganda, more than a third of the former abductees were identified with both PTSD and anxiety.[4] In addition, about 87% of the Iranian Chemical Warfare survivors who developed PTSD also suffered from anxiety,[5] and the prevalence of anxiety among female Bosnian refugees with PTSD was 97.1%.[6]

Comorbidity involving PTSD may lead to decreased health-related quality of life,[7] and the risk for committing suicide in those with comorbidity involving PTSD has been notably higher than in those who suffered from either of them.[8] Moreover, evidence has shown that when PTSD and other psychiatric disorder co-occur in trauma-related populations, a combined stress model with a shared vulnerability and similar risk factors might be formed.[9,10] In this regard, identifying shared risk factors for PTSD only and comorbidity involving PTSD is of great significance. Currently, studies exploring comorbidity involving PTSD have mostly focused on survivors of wars and earthquakes,[3,6] and this has not been investigated in flood survivors.

Floods are among the most destructive natural disasters globally,[11,12] and also frequently occur in China. For example, in Hunan Province of China, a flood that hit the Dongting Lake in 1998, affecting hundreds of thousands of people, was 1 of the most devastating floods throughout China's history.[13,14] Our previous studies have shown that the prevalence of PTSD among survivors of the 1998 Donting Lake flood at 2-year follow-up was

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9.2%,[14] and 15.89% of the survivors who developed PTSD in 2000 continued to have PTSD in 2013 to 2014.[15] Comorbidity of PTSD and anxiety in this population, however, has not been identified. Therefore, this study aimed to explore the extent to which PTSD and anxiety co-occur in this population, and identify shared risk factors for PTSD only and comorbidity of PTSD and anxiety.

2. Methods

2.1. Ethics statement

This investigation was carried out in accordance with the latest version of the Declaration of Helsinki. The Ethics Committee of Xiangya School of Public Health, Central South University of China, approved this investigation, and written informed consent was obtained from the participants.

2.2. Study area and participants

This cross-sectional study was conducted in Huarong County in December 2015. Huarong County, a catchment area of Dongting Lake, was heavily hit by the 1998 Dongting Lake flood. No more flood struck this area since the Dongting Lake flood in 1998. Participants in this area were selected using stratified and systematic random sampling method. Firstly, 2 towns—Zhuzikou and Xingfu—were randomly selected from southeast Huarong, and then 4 villages were selected from each town using systematic random sampling method. Finally, due to the minimum sample size of 384 for cross-sectional studies,[16] 30% of households in these villages were randomly selected.

Inclusion criteria for this study were: having experienced the Dongting Lake flood in 1998; aged at least 7 years in 1998; and willing to participate in this study. Excluded from this study were those who have been diagnosed with any psychiatric disorders before the Dongting Lake flood in 1998, or have received any psychiatric treatment since the Dongting Lake flood in 1998, or have suffered from mental retardation or dementia. Furthermore, those with a transformed total score of at least 60 on the subscale lie of the Eysenck Personality Questionnaire—Revised, Short Scale for Chinese (EPQ-RSC) were excluded. For the purposes of this study, participants were categorized into the PTSD-only group, anxiety-only group, comorbidity of PTSD and anxiety group, and neither PTSD nor anxiety group.

2.3. Data collection

Experienced investigators were recruited from Xiangya School of Public Health, Central South University, and Huarong Center for Disease Control and Prevention. Before data collection, a unified training was conducted for all investigators. After the training, they carried out face-to-face interviews with participants to collect data on sociodemographic characteristics, intensity of exposure to the flood, social support, and personality traits. The investigators were supervised by professional psychologists during data collection procedure. Full data for this study are available upon request to the corresponding author.

2.4. Measures
2.4.1. Intensity of exposure to the flood. In accordance with previous studies exploring psychiatric disorders in survivors of

natural disasters,[3,17] the intensity of exposure to the Dongting Lake flood in 1998 was measured by the following questions:

1. Have you lost at least 1 family member due to the Dongting Lake flood in 1998?
2. Have you or your family members been physically injured due to the Dongting Lake flood in 1998?
3. Have you or your family lost most of your property due to the Dongting Lake flood in 1998?
4. Have you or your family lost your livelihood due to the Dongting Lake flood in 1998?
5. Have your houses been destroyed due to the Dongting Lake flood in 1998?

The above 5 questions were treated as dichotomous variables with a response of either "Yes" or "No."

2.4.2. Social support. The Chinese version of Social Support Rating Scale (SSRS), which consists of 10 items, was used to identify the social support level. This instrument is scored on a 4-point Likert scale (1=none, 2=slight, 3=moderate, 4=great), with a total score of 12 to 44, 45 to 54, and >54, indicating low, medium, and high social support level, respectively.[18] The Chinese version of SSRS has sound reliability and validity,[19] with Cronbach alpha coefficient ranging from 0.825 to 0.896.[20]

2.4.3. Personality traits. The EPQ-RSC, which consists of 48 items each with responses "Yes" or "No," was used to assess personality traits. This instrument comprises 4 subscales: extraversion, psychoticism, neuroticism, and lie. The original total score of each subscale was transformed based on the Chinese norm. For the subscale extraversion, a transformed total score of <43.3, 43.3 to 56.7, and >56.7 indicates introversion, middle, and extraversion, respectively. For the subscale psychoticism, a transformed total score of <43.3, 43.3 to 56.7, and >56.7 indicates mild, middle, and obstinate, respectively. Besides, for the subscale neuroticism, a transformed total score of <43.3, 43.3 to 56.7, and >56.7 indicates emotional stability, middle, and emotional instability, respectively. Furthermore, those with a transformed total score of at least 60 on the subscale lie were considered as not providing credible information and were excluded from the study.[21] The EPQ-RSC has good reliability and validity, with Cronbach alpha coefficients more than 0.70 except for the subscale psychoticism.[22]

2.4.4. PTSD. The PTSD Checklist—Civilian version (PCL-C) was used to identify PTSD and the 1998 Dongting Lake flood was the specified trauma. This instrument is a self-report 17-item questionnaire based on the Diagnostic and Statistical Manual of Mental Disorders-forth edition (DSM-IV) and has been widely used, especially when the structured clinical interview is not feasible.[23] Each of the 17 items has a 5-point Likert scale ranging from 1 (not at all) to 5 (extremely). Thus, the total score ranges from 17 to 85. Individuals with a total score of at least 38 are classified as having PTSD.[24,25] The PCL-C is highly internally consistent with Cronbach alpha coefficient equal to 0.94,[26] and the cut-off score of 38 has high levels of sensitivity (94%–97%) and specificity (86%–99%).[27]

2.4.5. Anxiety. The Zung Self-rating Anxiety Scale (SAS), which consists of 20 items, was used to identify anxiety. Each of the 20 items has a 4-point Likert scale ranging from 1 (never) to 4 (very often). Therefore, the total score ranges from 20 to 80. Individuals with a total score of at least 50 are classified as having anxiety.[28,29] This instrument has been widely used in China and has sound reliability and validity.[30]

2.5. Statistical analyses

Frequencies and percentages (%) were presented for categorical variables, whereas means and standard deviations (SDs) were presented for continuous variables. Logistic regression analyses were performed to identify shared risk factors for PTSD only, and comorbidity of PTSD and anxiety. Specifically, univariate logistic regression analyses were used to explore the relationship between each independent variable and PTSD only, and comorbdity of PTSD and anxiety, whereas multivariable logistic regression analyses were used to identify the independent role of each associated variable for PTSD only, and comorbdity of PTSD and anxiety. All statistically significant associated variables in the univariate logistic regression analyses were collectively entered into multivariable logistic regression analyses.[31–33] Participants in neither PTSD nor anxiety group were compared with those in PTSD-only group, and comorbidity of PTSD and anxiety group, respectively. Statistical analyses were conducted using SPSS Version 19.0 (IBM Corp, Armonk, NY). All statistical tests were 2-tailed, and a P value of less than .05 was considered statistically significant.

3. Results

3.1. Sample description

A representative sample consisting of 412 subjects from 204 households of 8 villages in 2 towns of Huarong County were initially yielded for this study. Among the 412 subjects, 364 were interviewed, and 5 of them were excluded for suffering from schizophrenia or mental retardation. Furthermore, since a subgroup of 34 participants were identified as not providing credible information by the subscale lie, a total of 325 respondents were finally enrolled in this study.

All participants were of Han ethnicity and none of them reported having a family history of psychiatric disorders. Among all participants, 172 (52.9%) were female and the mean (SD) age for the participants was 57.79 (12.26) years. Furthermore, 13 (4.0%) experienced loss of relative, 50 (15.4%) experienced injury of body, 205 (63.1%) experienced loss of property, 226 (69.5%) experienced loss of livelihood, and 148 (45.5%) had their houses damaged due to the 1998 Dongting Lake flood (Table 1).

3.2. Prevalence of comorbidity

According to the total scores of PCL-C and SAS, there were 11 participants in PTSD-only group, 10 participants in anxiety-only group, 20 participants in comorbidity of PTSD and anxiety group, and 284 participants in neither PTSD nor anxiety group, indicating that the prevalence of PTSD, anxiety, and comorbidity of PTSD and anxiety was 9.54% (31/325), 9.23% (30/325), and 6.15% (20/325), respectively. Furthermore, 64.52% (20/31) of those with PTSD had anxiety and 66.67% (20/30) of those with anxiety had PTSD.

3.3. Univariate analyses

In comparison with neither PTSD nor anxiety group, univariate logistic regression analyses indicated that those with loss of relative (odds ratio [OR] 10.30, 95% confidence interval [CI] 1.82–58.22), injury of body (OR 6.80, 95% CI 1.96–23.60), damage of house (OR 6.42, 95% CI 1.36–30.27), and emotional instability (OR 8.93, 95% CI 1.84–43.33) were more likely to

Table 1

Characteristics of study sample.

Variable	Value	Frequency	Per cent (%)
Sex	Female	172	52.9
	Male	153	47.1
Marital status	Married	285	87.7
	Single/divorced/widowed	40	12.3
Age, y	24-59	179	55.1
	60-87	146	44.9
Educational level	≤Primary school	190	58.5
	>Primary school	135	41.5
Loss of relative	No	312	96.0
	Yes	13	4.0
Injury of body	No	275	84.6
	Yes	50	15.4
Loss of property	No	120	36.9
	Yes	205	63.1
Loss of livelihood	No	99	30.5
	Yes	226	69.5
Damage of house	No	177	54.5
	Yes	148	45.5
Social support	Low	108	33.2
	Medium	136	41.8
	High	81	24.9
Extraversion	Middle	117	36.0
	Introversion	90	27.7
	Extraversion	118	36.3
Psychoticism	Middle	143	44.0
	Mild	109	33.5
	Obstinate	73	22.5
Neuroticism	Middle	142	43.7
	Emotional stability	96	29.5
	Emotional instability	87	26.8

develop PTSD only. Additionally, those with loss of relatives (OR 13.95, 95% CI 3.41–57.03), injury of body (OR 6.68, 95% CI 2.57–17.37), damage of house (OR 3.33, 95% CI 1.24–8.92), low social support (OR 11.00, 95% CI 1.40–86.59), and emotional instability (OR 7.26, 95% CI 2.27–23.18) were more likely to develop comorbidity of PTSD and anxiety (Table 2).

3.4. Multivariable analyses

Multivariable logistic regression analyses indicated that loss of relative (OR 11.45, 95% CI 3.23–66.44 for PTSD only; OR 9.13, 95% CI 1.36–61.30 for comorbidity), injury of body (OR 6.39, 95% CI 1.50–27.27 for PTSD only; OR 5.40, 95% CI 1.76–16.57 for comorbidity), damage of house (OR 8.25, 95% CI 1.46–46.51 for PTSD only; OR 3.34, 95% CI 1.08–10.36 for comorbidity), and emotional instability (OR 9.28, 95% CI 1.67–51.42 for PTSD only; OR 5.71, 95% CI 1.61–20.30 for comorbidity) were shared risk factors for PTSD only, and comorbidity of PTSD and anxiety (Table 3).

4. Discussion

The present study, conducted 17 years after the Dongting Lake flood in 1998, explored comorbidity of PTSD and anxiety in flood survivors. To the best of our knowledge, this is the first study to explore comorbidity of PTSD and anxiety in flood survivors.

The prevalence of PTSD and anxiety among survivors of the 1998 Dongting Lake flood at 17-year follow-up was 9.54% and 9.23%, respectively. The PTSD prevalence identified in this study

Table 2

Univariate logistic regression analyses of the effects of possible associated factors on the odds of post-traumatic stress disorder only and comorbidity of post-traumatic stress disorder and anxiety, in comparison with neither post-traumatic stress disorder nor anxiety.

Variable	Value	PTSD only		Comorbidity of PTSD and anxiety	
		OR (95% CI)	P	OR (95% CI)	P
Sex	Male	1		1	
	Female	4.50 (0.96–21.20)	.057	1.86 (0.72–4.79)	.201
Marital status	Married	1		1	
	Single/divorced/widowed	0.71 (0.09–5.73)	.749	0.79 (0.18–3.55)	.759
Age, y	24-59	1		1	
	60-87	0.69 (0.20–2.40)	.556	0.65 (0.25–1.67)	.368
Educational level	≤Primary school	1		1	
	>Primary school	1.23 (0.37–4.11)	.743	2.20 (0.87–5.56)	.094
Loss of relative	No	1		1	
	Yes	10.30 (1.82–58.22)	.008*	13.95 (3.41–57.03)	<.001*
Injury of body	No	1		1	
	Yes	6.80 (1.96–23.60)	.003*	6.68 (2.57–17.37)	<.001*
Loss of property	No	1		1	
	Yes	1.56 (0.40–6.03)	.516	1.09 (0.42–2.82)	.860
Loss of livelihood	No	1		1	
	Yes	1.96 (0.41–9.24)	.398	1.01 (0.38–2.73)	.979
Damage of house	No	1		1	
	Yes	6.42 (1.36–30.27)	.019*	3.33 (1.24–8.92)	.017*
Social support	High	1		1	
	Medium	0.63 (0.09–4.54)	.643	4.38 (0.53–36.31)	.171
	Low	3.21 (0.65–15.92)	.154	11.00 (1.40–86.59)	.023*
Extraversion	Middle	1		1	
	Introversion	0.19 (0.02–1.57)	.122	1.98 (0.54–7.26)	.302
	Extraversion	0.43 (0.11–1.70)	.229	2.50 (0.76–8.23)	.132
Psychoticism	Middle	1		1	
	Mild	0.54 (0.14–2.15)	.381	0.80 (0.30–2.13)	.649
	Obstinate	0.26 (0.03–2.14)	.210	0.32 (0.07–1.49)	.147
Neuroticism	Middle	1		1	
	Emotional stability	0.74 (0.07–8.33)	.811	1.12 (0.24–5.11)	.887
	Emotional instability	8.93 (1.84–43.33)	.007*	7.26 (2.27–23.18)	.001*

CI = confidence interval, OR = odds ratio, PTSD = post-traumatic stress disorder.
* P < .05.

is lower than that found among earthquake survivors and war veterans. For example, previous studies indicated that 5 decades after the Korean War, 32.1% of the veterans developed PTSD,[34] and 1 year after the Wenchuan earthquake in China, 26.3% of the survivors developed PTSD.[3] Additionally, the anxiety prevalence identified in this study is lower than that found among former abductees in Northern Uganda.[4] Differences in the prevalence of PTSD and anxiety may be attributed to the differences in the intensity of exposure to the trauma, and also differences in the follow-up time since the trauma emerged.[35,36]

Table 3

Multivariable logistic regression analyses of factors significantly associated with post-traumatic stress disorder only and comorbidity of post-traumatic stress disorder and anxiety in the univariate analyses.

Variable	Value	PTSD only		Comorbidity of PTSD and anxiety	
		OR (95% CI)	P	OR (95% CI)	P
Loss of relative	No	1		1	
	Yes	11.45 (3.23–66.44)	.003*	9.13 (1.36–61.30)	.023*
Injury of body	No	1		1	
	Yes	6.39 (1.50–27.27)	.012*	5.40 (1.76–16.57)	.003*
Damage of house	No	1		1	
	Yes	8.25 (1.46–46.51)	.017*	3.34 (1.08–10.36)	.037*
Social support	High	N/A		1	
	Medium	N/A	N/A	3.45 (0.39–30.65)	.266
	Low	N/A	N/A	7.35 (0.88–61.68)	.066
Neuroticism	Middle	1		1	
	Emotional stability	0.50 (0.04–6.31)	.594	0.95 (0.19–4.76)	.952
	Emotional instability	9.28 (1.67–51.42)	.011*	5.71 (1.61–20.30)	.007*

CI = confidence interval, N/A = not applicable, OR = odds ratio, PTSD = post-traumatic stress disorder.
* P < .05.

For example, Wang et al[37] found that the prevalence of PTSD and anxiety measured 6 weeks after the traffic accidents decreased significantly, compared with that measured 1 week after the traffic accidents.

This study also found that the prevalence of comorbidity of PTSD and anxiety was 6.15%, with 64.52% of those with PTSD having anxiety and 66.67% of those with anxiety having PTSD. Comorbdity of PTSD and anxiety is prevalent in trauma-related populations.[38–40] For example, according to the National Comorbidity Survey in USA, 79% of women and 88% of men with PTSD had at least 1 other psychiatric disorder.[41] These findings indicate that integrated interventions of PTSD and anxiety for trauma-related populations are warranted.

Some studies found that females were at higher risk than males for developing psychiatric disorders including PTSD and anxiety,[9,42] whereas some found contradictory results.[43] Besides, associations between education level and age with psychiatric disorders were controversial.[42,44,45] This study found no association between sociodemographic variables of interest, and comorbidity of PTSD and anxiety. Therefore, more studies with large sample size are warranted to clarify these associations.

Multivariable logistic regression analyses indicated that loss of relative, injury of body, and damage of house were shared risk factors for PTSD only and comorbidity of PTSD and anxiety in flood survivors. This finding is consistent with many previous studies.[3,46] The intensity of exposure to the trauma is among the most robust predictive factors for psychiatric disorders. For example, in comparison with Iranian Chemical Warfare survivors who were only exposed to low-intensity warfare, those who were exposed to both chemical weapons and high-intensity warfare were significantly more likely to suffer from both PTSD and anxiety.[5]

Additionally, emotional instability was a shared risk factor for PTSD only and comorbidity of PTSD and anxiety in flood survivors. Previous studies indicated that neuroticism were associated with many psychiatric disorders, including PTSD and anxiety.[47–49] For example, Spinhoven et al[9] found that neuroticism was a risk factor for comorbidity of PTSD in anxiety and depressive disorders. Besides, though this study did not detect an independent contribution of social support to comorbidity of PTSD and anxiety in flood survivors, social support plays an important role in the incidence and maintenance of psychiatric disorders in trauma-related populations. Social support of this study was measured by SSRS, which only reflects the support from family, friends, or neighbors. Social support from society and community was not measured, which may lead to the insignificant relationship between social support and comorbidity of PTSD and anxiety observed in this study.

When interpreting the findings of this study, it is worth noting here that PTSD identified in this study was related to the 1998 Dongting Lake flood, which was the specified trauma in PCL-C. Though no more floods struck the study area since the Dongting Lake flood in 1998, participants could experience other potential traumas during this period, such as road traffic accidents. Therefore, there might be very low probability that participants may exhibit PTSD symptoms associated with other traumas, which were not measured in this study, and also in many previous studies exploring PTSD related to specified traumas.[50,51] Additionally, participants enrolled in this study were all of Han ethnicity without a family history of psychiatric disorders. Whether findings of this study could be generalized to other populations with different characteristics remains unknown.

This study has several limitations. Firstly, this study was retrospective. Therefore, recall bias might exist when collecting some information, such as the intensity of exposure to the 1998 Dongting Lake flood. However, the finding that the intensity of exposure to the flood was associated with both PTSD only and comorbidity of PTSD and anxiety was consistent with many studies. Secondly, the diagnoses of PTSD and anxiety were made based on self-report questionnaires rather than structured clinical interviews, which could contribute to a higher estimation of the prevalence of comorbidity of PTSD and anxiety. Finally, sparse data bias might exist when identifying the associations of some variables with PTSD only, and comorbidity of PTSD and anxiety, given that their corresponding 95% CIs were wide.

Despite the preceding limitations, this study has quite a few strengths and implications. Firstly, to the best of our knowledge, this is the first study to explore comorbidity of PTSD and anxiety in flood survivors. Therefore, its findings could provide fundamental knowledge for proper interventions in flood survivors. Secondly, the high prevalence of PTSD and anxiety, and also the high prevalence of comorbidity of PTSD and anxiety found in this study, significantly underscores the importance of the implementation of timely and effective interventions for flood survivors. Thirdly, possible associated factors assessed in this study included not only sociodemographic characteristics and the intensity of exposure to the flood, but also personality traits and social support, which may help reduce the effects caused by potential confounding when interpreting the findings. Finally, the finding of this study that the intensity of exposure to the flood and personality traits were shared risk factors for PTSD only and comorbidity of PTSD and anxiety strongly supports the hypothesis that when PTSD and other psychiatric disorders co-occur after the trauma, a combined stress model with a shared vulnerability and similar risk factors might be formed,[52] which may also provide a basis for exploring underlying dimensions of comorbidity involving PTSD.

5. Conclusions

Comorbidity of PTSD and anxiety is prevalent in flood survivors, with its prevalence being 6.15% at 17-year follow-up since the Dongting Lake flood in 1998. Furthermore, the intensity of exposure to the flood (loss of relative, injury of body, damage of house) and emotional instability are shared risk factors for PTSD only and comorbidity of PTSD and anxiety. Integrated intervention strategies of PTSD and anxiety for flood survivors are warranted.

Acknowledgments

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