Trends and Determinants of Neonatal Mortality in Nepal

Further Analysis of the Nepal Demographic and Health Surveys, 2001-2011



Kathmandu, Nepal March 2013

Trends and Determinants of Neonatal Mortality in Nepal

Further Analysis of the Nepal Demographic and Health Surveys, 2001-2011

Deepak Paudel^{1, 2} Anil Thapa³ Purusotam Raj Shedain³ Bhuwan Paudel³

- ¹ U.S. Agency for International Development, Nepal
- ² Center for International Health, Ludwig Maximilians University, Munich
- ³ Ministry of Health and Population, Nepal

Kathmandu, Nepal March 2013











This report presents findings from a further analysis study undertaken as part of the follow-up to the 2011 Nepal Demographic and Health Survey (NDHS). Funding for the further analysis of the survey was provided by the United States Agency for International Development (USAID), the United Kingdom's Department for International Development (DFID) and the United Nations Population Fund (UNFPA). ICF International provided technical assistance for the survey and further analysis, and New ERA provided in-country coordination and technical assistance through the MEASURE DHS program, a USAID-funded project providing support and technical assistance in the implementation of population and health surveys in countries worldwide. The opinions expressed herein are those of the authors and do not necessarily reflect the views of USAID or the US government or other funding agencies.

This report is part of the MEASURE DHS program, which is designed to collect, analyze, and disseminate data on fertility, family planning, maternal and child health, nutrition, and HIV/AIDS. Additional information about the 2011 NDHS may be obtained from the Population Division, Ministry of Health and Population, Government of Nepal, Ramshahpath, Kathmandu, Nepal; telephone: (977-1) 4262987; and from New ERA, P.O. Box 722, Kathmandu, Nepal; telephone: (977-1) 4419562; e-mail: info@newera.com.np. Information about the DHS program may be obtained from MEASURE DHS, ICF International, 11785 Beltsville Drive, Suite 300, Calverton, MD 20705, USA; telephone: 301-572-0200; fax: 301-572-0999; e-mail: reports@measuredhs.com; Internet: http://www.measuredhs.com.

Recommended citation:

Paudel, D., A. Thapa, P. R. Shedain, and B. Paudel. 2013. *Trends and determinants of neonatal mortality in Nepal: Further analysis of the Nepal Demographic and Health Surveys, 2001-2011*. Calverton, Maryland, USA: Nepal Ministry of Health and Population, New ERA, and ICF International.

CONTENTS

CON	NTENTS	I
TAB	BLES	III
FIG	URES	III
FOR	REWORD	V
ACK	KNOWLEDGMENTS	VII
ABB	BREVIATIONS AND ACRONYMS	IX
EXE	ECUTIVE SUMMARY	XI
1	INTRODUCTION	1
	1.1 Background	1
	1.2 RATIONALE OF THE STUDY	2
	1.3 CONCEPTUAL FRAMEWORK	3
2	METHODOLOGY	5
	2.1 DATA	5
	2.2 VARIABLE DEFINITION	5
	2.3 DATA ANALYSIS	6
	2.4 Study Limitations	7
3	RESULTS	9
	3.1 CHARACTERISTICS OF BIRTHS	9
	3.2 TIME OF NEONATAL DEATH	
	3.3 NEONATAL MORTALITY BY BACKGROUND CHARACTERISTICS	
	3.4 REGRESSION ANALYSIS FOR NEONATAL MORTALITY	16
4	DISCUSSION AND CONCLUSIONS	21
	4.1 Summary Of Findings	21
	4.2 OVERALL CONCLUSION	22
	4.3 PROGRAM AND RESEARCH IMPLICATIONS	22
REF	TERENCES	25
ANN	NEXES	27

TABLES

Table 2.1	Number of sample households, women respondents, and births, by survey year, NDHS 2001-2011	5
Table 3.1	Background characteristics1	2
Table 3.2	Neonatal mortality rate by background characteristics1	6
Table 3.3	Logistic regression analysis for neonatal mortality by socio-demographic characteristics1	7
Table 3.4	Logistic regression analysis for neonatal mortality by behavioral and environmental characteristics1	9

FIGURES

Figure 1.1	Trends in childhood mortality (deaths per 1,000 live births), NDHS 1996-2011	2
Figure 1.2	Conceptual framework for factors affecting newborn mortality	4
Figure 2.1	Neonatal mortality, with 95 percent confidence intervals, among all births and most recent births during the five years preceding the surveys, NDHS 2001-2011	8
Figure 3.1	Distribution of reported neonatal deaths by age at death in days (weighted count)	13

FOREWORD

The 2011 Nepal Demographic and Health Survey is the fourth nationally representative comprehensive survey conducted as part of the worldwide Demographic and Health Surveys (DHS) project in the country. The survey was implemented by New ERA under the aegis of the Population Division, Ministry of Health and Population (MoHP). Technical support for this survey was provided by ICF International with financial support from the United States Agency for International Development (USAID) through its mission in Nepal.

The standard format of the main report includes only a descriptive presentation of findings and trends, without using analytical statistical methods to ascertain the significance of change and causative association between variables. Though largely sufficient, the standard report is limited, hence, particularly in providing answers to 'why', which are very essential in re-shaping important policies and programs. Hence, following the dissemination of the NDHS 2011, MoHP and partners have convened and agreed on key areas that are very important to assess progress and gaps, and ascertain determinants, in high priority public health programs that MoHP is implementing. In this context, further analyses has been carried out by relevant technical professionals from MoHP and partners who are directly working on the given areas, with technical support and facilitation from research agencies.

The primary objective of the further analysis of 2011 NDHS is to provide more in depth knowledge and insights into key issues that emerged based on the data of 2011 NDHS, and this provides guidance in planning, implementing, re-focusing, monitoring, and evaluating health programs related to these issues in Nepal. The long term objective of the further analysis is to strengthen the technical capacity of the local institutions and individuals to analyze and use data from complex national population and health surveys to better understand specific issues per country need and situation. The further analysis includes topics on 'Maternal and Child Health in Nepal: The Effects of Caste, Ethnicity, and Regional Identity'; 'Trends and Determinants of Neonatal Mortality in Nepal'; 'Women's Empowerment and Spousal Violence in Relation to Health Outcomes in Nepal'; 'Sexual and Reproductive Health of Adolescents and Youth in Nepal: Trends and Determinants'; and 'Impact of Male Migration on Contraceptive Use, Unmet Need, and Fertility in Nepal'.

The further analysis of 2011 NDHS is the concerted effort of various individuals and institutions, and it is with great pleasure that I acknowledge the work that has gone into producing this useful document. The participation and cooperation that was extended by the members of the Technical Advisory Committee in the different phases of the survey is highly regarded.

I would like to extend my appreciation to USAID/Nepal, UK Department for International Development (DFID) and United Nations Population Fund (UNFPA) for providing financial support for the further analyses. I would also like to acknowledge ICF International Inc. for its technical assistance at all stages. Similarly, my sincere thanks go to the New ERA team for the overall management and coordination of the whole process. I also would like to thank the Population Division of the Ministry of Health and Population for its effort and dedication in the completion of this further analysis of 2011 NDHS.

Praveen Mishra Secretary Ministry of Health and Population

The further analysis of 2011 Nepal Demographic and Health Survey (NDHS) was conducted under the aegis of the Population Division, Ministry of Health and Population of the Government of Nepal. The United States Agency for International Development (USAID), UK Department of International Development (DFID) and United Nations Population Fund (UNFPA) provided financial support and technical assistance was provided by ICF International through MEASURE DHS Project. Overall coordination, facilitation, administrative and logistic support was provided by New ERA, a local research firm with extensive experience in conducting such studies in the past.

I express my deep sense of appreciation to the technical experts in the different fields of population and health for their valuable input in the various phases of the study and providing valuable inputs towards finalizing the report. My sincere gratitude goes to all the members of Technical Advisory Committee for their time, support and valuable input. I would like to extend my sincere gratitude to Dr. Praveen Mishra, Secretary, Ministry of Health and Population for his guidance.

I would like to extend my gratitude to the authors Mr. Deepak Paudel, Mr. Anil Thapa, Dr. Pursotam Raj Shedain, Dr. Bhuwan Paudel for their hard work and valuable contribution in this further analysis. I would also like to express my deep appreciation to the reviewers of this analysis Dr. Shyam Raj Upreti, Ministry of Health and Population; Prof. Dr. Ramesh Kanta Adhikari, Kathmandu Medical College; Dr. Neena Khadka, Save the Children, Nepal; Dr. Lily Kak, USAID Washington; Dr. Shea Rutstein, ICF International; Dr. Tom Pullum, ICF International; Mr. Trevor Croft, ICF International; and Ms. Rebecca Winter, ICF International for their valuable inputs, comments, and suggestions concerning the analysis and preparation of this report. I would also like to acknowledge other contributors Mr. Parashuram Shrestha, Ministry of Health and Population; Dr. Anjana K.C. and Ms. Honey Malla, Save the Children, Nepal; and Ms. Nira Joshi, New ERA for their contributions.

I would like to express my heartfelt gratitude to the USAID, DFID and UNFPA in Nepal for their financial contribution. My deep sense of gratitude goes to Dr. Pav Govindasamy, Regional Coordinator for Anglophone Africa and Asia, ICF International for her technical support. I would like to thank Dr. Sarah Staveteig and Ms. Anjushree Pradhan of ICF International Inc. Calverton, Maryland, USA for their technical support and intensive review of the reports. I would like to thank the editors Mr. Ward Rinehart, Jura Editorial Services SARL and Bryant Robey, Johns Hopkins University for their editorial work on the report.

My appreciation also goes to the staff of New ERA, Mr. Yogendra Prasai, Mr. Rajendra Lal Dangol, Mr. Kshitiz Shrestha, Mr. Sachin Shrestha, Mr. Sanu Raja Shakya, Ms. Geeta Shrestha Amatya, and Mr. Rajendra Kumar Shrestha for managing the technical, administrative and logistical support provided during the further analysis period. My special thanks also goes to the staff of Population Division for their active support.

Similarly, I greatly acknowledge the support received from Nepal Health Sector Support Program (NHSSP), Nepal Family Health Program (NFHP), Save the Children and other various institutions for the successful completion of this study.

Dr. Badri Pokhrel Chief, Population Division Ministry of Health and Population

ABBREVIATIONS AND ACRONYMS

ANC	antenatal care
aOR	adjusted odds ratio
BMI	body mass index
CI	confidence interval
DHS	Demographic and Health Survey
IMR	infant mortality rate
МОН	Ministry of Health (now MOHP)
MOHP	Ministry of Health and Population
NDHS	Nepal Demographic and Health Survey
NMR	neonatal mortality rate
OR	odds ratio
SBA	skilled birth attendants
U5MR	under-five mortality rate
UNICEF	United Nation's Children's Fund
uOR	unadjusted odds ratio
USAID	United States Agency for International Development
WHO	World Health Organization

This study analyzes data from three Demographic and Health Surveys in Nepal, carried out in 2001, 2006, and 2011. Data on births in the five years preceding these surveys were analyzed to examine trends in and determinants of neonatal mortality in Nepal. Log probability models were used to estimate neonatal mortality rates, and bivariate and multivariate logistic regression models were constructed to analyze determinants of neonatal deaths.

Despite substantial improvement in other health and survival indicators in Nepal, neonatal mortality declined only at a slow pace between 2001 and 2011. Neonatal mortality is higher among infants born to mothers in rural areas, least wealthy households, and socially disadvantaged castes and ethnic groups. Similarly, neonatal mortality rates are particularly high for babies born to mothers with no education or to mothers who are young (under age 20) or old (over age 35), who had a low body mass index, or are of short stature as well as babies with less than two-year birth intervals, those born to mothers with poor birth preparedness practices, or who had made few antenatal care visits, and babies who had poor immediate newborn care. Also, neonatal mortality is higher among babies born in households with indoor air pollution (using solid fuel and cooking inside the home) or in households without access to improved water and sanitation facilities, and among babies born to mothers who are not exposed to public health broadcast media.

In the study, mother's education, birth spacing, mother's stature, antenatal care visits, and exposure to indoor air pollution were significant predicators for neonatal mortality in Nepal during some survey years. Although findings on trends are sometimes lacking, possibly due to small sample sizes, recall bias, or other data limitations of the surveys, the results are consistent with previous in-country and international findings. To achieve further gains in neonatal survival, ongoing and upcoming programs should address determinants of neonatal mortality, and should focus on improving the utilization of maternal health care services.

Although Nepal is on track to achieve Millennium Development Goal 4 to reduce child mortality, reducing neonatal deaths is crucial to achieving further gains in child survival. Government and donors should focus their programs to address causes of neonatal deaths, primarily in the early neonatal period.

1 INTRODUCTION

1.1 BACKGROUND

Globally, each year an estimated 2.9 million babies die in their first month of life (UNICEF et al., 2012). Most of these deaths occur in developing countries such as Nepal. Between 1990 and 2011 the global neonatal mortality rate declined by 32 percent, from 32 deaths per 1,000 live births to 22 deaths per 1,000 live births (UNICEF et al., 2012). At the same time, the proportion of child deaths in the neonatal period increased and now stands at 41 percent globally (Bhutta et al., 2012). Leading causes of neonatal death are pre-term birth, severe infections, and asphyxia. Low birth weight is the leading indirect cause of neonatal mortality. The rate of neonatal mortality is known to be affected by various factors such as maternal characteristics, child and birth characteristics, socio-demographic characteristics of the household, and mothers' and other caregivers' health care seeking behaviors (Fort et al., 2008).

The Demographic and Health Survey (DHS) is a nationally representative, comprehensive survey conducted periodically in more than 90 countries. There have been four DHS surveys in Nepal, in 1996, 2001, 2006, and 2011 (Pradhan et al., 1997; MOH et al., 2002; MOHP et al., 2007; MOHP et al., 2012). Under the aegis of the Ministry of Health and Population (MOHP), New ERA implemented these surveys. ICF International (formerly Macro International) provided technical support. The United States Agency for International Development (USAID) provided financial support.

According to the results from these surveys, Nepal is currently on track to meet Millennium Development Goal 4 (reducing child mortality) and Goal 5 (improving maternal health) (WHO/UNICEF, 2012). Overall, the rate of reduction in under-five mortality is impressive. The rate of reduction is greatly disproportionate across age groups, however, with the slowest rate of decline among neonates (Pradhan et al., 2012).

The 2011 Nepal Demographic and Health Survey (NDHS) found that the under-five mortality rate for the fiveyear period preceding the survey was 54 deaths per 1,000 live births. The infant mortality rate in the five years preceding the survey was 46 deaths per 1,000 live births. The neonatal mortality rate for the five-year period preceding the survey was 33 deaths per 1,000 live births.

Over the last 15 years in Nepal, under-five mortality fell by 54 percent, from 118 deaths per 1,000 live births to 54 per 1,000 live births. Infant mortality declined by 42 percent over the same period, from 79 deaths per 1,000 live births in 1991-1995 to 46 per 1,000 in 2006-2010. By comparison, neonatal mortality decreased by 34 percent in this period, from 50 deaths per 1,000 live births in 1991-1995 to 33 per 1,000 in 2006-2010.

Because mortality rates among older children decreased faster than the neonatal mortality rate, neonatal mortality now accounts for a higher percentage of child deaths. In 1996 neonatal deaths constituted 63 percent

of all infant deaths and 42 percent of under-five deaths. In 2011 neonatal deaths accounted for 72 percent of infant deaths and 61 percent of under-five deaths.



Figure 1.1 Trends in childhood mortality (deaths per 1,000 live births), NDHS 1996-2011

1.2 RATIONALE OF THE STUDY

Further reduction in neonatal mortality is necessary to continue improvements in childhood survival. Yet, despite improvements in health care utilization, fertility rates, and other mortality-related indicators, neonatal mortality was stagnant between the last two DHS surveys in Nepal. Between 2006 and 2011 many other health indicators expected to have a positive impact on newborn mortality, such as antenatal care seeking, tetanus toxoid vaccination, and utilization of skilled birth attendants, have improved significantly. Improvements in some other indicators, such as completion of four antenatal care visits and postnatal care, have been steady but slow. Also, access to improved water and sanitation facilities has increased during this period (MOHP et al., 2012).

Between 2001 and 2011 Nepal has developed various newborn policies, strategies, and guidelines and has kept newborn health a high-priority program by the MOHP. In 2004 the Ministry endorsed the National Neonatal Health Strategy, and around the same time the National Safe Motherhood and Neonatal Long-term Plan was developed (Pradhan et al., 2012). These policy documents provide the necessary guidance for integrating newborn care into various ongoing programs such as Community-Based Integrated Management of Childhood Illness and Safe Motherhood programs. The Second Long-term Health Plan (1997-2017) and the Nepal Health Sector Plans (2005-2010 and 2010-2015) also have given newborn programs top priority (MOH, 2004; MOHP, 2010). Nepal is one of the few countries with designated champions for newborns within the government and other stakeholder organizations, who use local, regional, and global evidence to influence policy (Smith and Neupane, 2011). In the last decade Nepal has initiated various pilot projects to test the effectiveness of newborn

interventions. These include the use of chlorohexidine to prevent umbilical cord infection (Mullany et al., 2006), the use of community health workers to identify and manage newborn infections (Khanal et al., 2011) and promote essential newborn care practices (Hodgins et al., 2010), and the use of birth preparedness programs to improve newborn care practices (McPherson et al., 2006). Based on the evidence from these and other regional pilot studies, the Government of Nepal developed the Community-Based Newborn Care Package to address causes of neonatal deaths. This package was introduced in 2009 and gradually expanded to cover 35 districts by mid-2013 (Pradhan et al., 2011; Kc et al., 2011).

Various circumstances, such as home deliveries without skilled providers, delay in seeking care during illness, lack of preparedness of families and care providers, harmful cultural practices, and economic and geographic issues, contribute to neonatal death. In most rural communities in Nepal, health facilities are not fully equipped to provide adequate care for newborns, primarily due to lack of health workers with the skills and experience to manage newborn illness as well as lack of equipment, supplies, and medicines (Suvedi et al., 2012). Extending health services from health facilities to communities and homes is challenging but crucial.

Social exclusion, caste, maternal illiteracy, negative parental attitudes arising from the social environment, gender bias, inability to pay for care, and lack of basic prenatal, natal, and postnatal services are the main contributors to poor newborn survival rates in developing countries (Bhutta et al., 2012; Darmstadt et al., 2005; Fort et al., 2008; Garg and Gogia, 2009; Kumar et al., 2008). Social determinants of health and inequitable access and use of health services are also major factors that impact newborn mortality in Nepal. Newborns of the lowest wealth quintile of households are much more likely to die than newborns of the wealthiest quintile of households.—37 deaths per 1,000 live births compared with 19 per 1,000, respectively (MOHP et al., 2012). To bring about substantial reductions in neonatal mortality, programs should target those who are in greatest need.

During the last decade there have been remarkable improvements in non-health sectors in Nepal that affect newborn health and survival, primarily in education, transportation, and communication systems (UNDP, 2009). Despite these improvements, a favorable policy environment, the efforts of the champions for newborn health, and improvement in other health and non-health indicators, the neonatal mortality rate has remained stagnant. Utilizing the DHS data from 2001 to 2011, this paper explores possible reasons for stagnant neonatal mortality rates. The findings of this analysis may be helpful to understand the determinants of neonatal mortality in Nepal and to identify priority approaches to improve newborn survival.

1.3 CONCEPTUAL FRAMEWORK

Variables were grouped by household characteristics (nine variables), maternal characteristics (six variables), child and birth characteristics (four variables), birth preparedness and immediate care (six variables), health care seeking and utilization (seven variables), exposure to media (two variables), and problem in accessing health care (one variable). Based on a literature review and group brainstorming, the following conceptual framework was developed and used for the analysis:



Figure 1.2 Conceptual framework for factors affecting newborn mortality

The main body of this report covers the following factors associated with neonatal mortality: Area of residence, wealth status, caste and ethnicity, mother's education, maternal age at delivery, birth order, birth spacing, birth preparedness, immediate newborn care, cord care, maternal body mass index (BMI), maternal anemia, maternal stature, antenatal care visits, institutional delivery, delivery by skilled birth attendants, postnatal visit within three days of birth, problem in accessing health care, indoor air pollution, access to improved water and sanitation facilities, and exposure to public health media. Annex Table 3.2 presents detailed information on neonatal mortality rates for additional characteristics.

This paper is organized into four chapters. This first chapter explores the background and rationale of the study and provides a synthesis of available literature. Chapter 2 explains the methodology used for this further analysis and study limitations. Chapter 3 presents the results of the analysis. Chapter 4 includes discussion, presents the conclusions of the study, and explores the program implications of the findings.

2.1 DATA

Data sets from the Nepal 2001, 2006, and 2011 DHS surveys are used to study the trend and determinants of neonatal mortality in Nepal. The samples for the DHS were selected as two-stage stratified random samples proportionate to the population size. Data from the Household Questionnaire and the Women's Questionnaire, administered to women age 15-49, are used in this analysis. Sample weights are applied to adjust for the different probabilities of selection of households across the sampling domains. Table 2.1 shows the size of the samples for the three surveys included in this analysis.

Table 2.1 Number of sample households, women respondents, and births, by survey year, NDHS 2001-2011

	DHS 2001	DHS 2006	DHS 2011
Number of households	8,602	8,707	10,826
Response rate (%)	99.6	99.6	99.4
Number of respondents (women age 15-49)	8,726	10,793	12,674
Response rate (%)	98.2	98.4	98.1
Number of all births in preceding five years	6,840	6,157	5,391
Number of most recent births in preceding five years	4,745	4,066	4,148
Approximate timeframe covered	1996-2000	2001-2005	2006-2010

In this analysis neonatal mortality is defined as the probability of death in the first month of life (0-30 days) per 1,000 live births for the period 1 to 60 months preceding the survey. The numerators are the number of deaths among live-born children at age 0-30 days, and the denominators are the number of live-born children in the 1-60 months preceding the survey. Due to incomplete exposure for death, births in the month of interview were excluded from the analysis.

2.2 VARIABLE DEFINITION

For this study selected variables are recoded and grouped into composite measures, and neonatal mortality is recoded as a dichotomous variable for logistic regression analysis.

- *Wealth status* is created by recoding five household wealth quintiles into three categories: "least wealthy" (lower 40 percent), "wealthiest" (upper 40 percent), and the middle 20 percent is kept as "middle."
- *Caste and ethnicity* reflects recoding into two composite groups—a disadvantaged group, comprising Hill Dalit, Terai Dalit, Hill Janajati¹, Terai Janajati, Other Terai castes, and Muslim, on one hand, and, on the other hand, a non-disadvantaged group, comprising Brahmin, Chhetri, Newar, Gurung, Magar, Thakali, and others.

¹ Except for Gurung, Magar, Thakali.

- *Indoor air pollution* is defined as a household reported to cook inside the home using solid fuel (coal, lignite, charcoal, wood, and other traditional materials such as agricultural crop waste and animal dung).
- Access to improved water and sanitation is defined as a household with access to improved drinking water (piped into dwelling, public tap, tube-well, protected well, rain water, or bottled water) and improved toilet facilities (flush to sewer system or septic tank or pit latrine, ventilated improved pit latrine, pit latrine with slab, or composting toilet).
- *Birth preparedness* is categorized into two groups. Birth preparedness is defined as "better" if the respondent reported preparing for at least two of the following: money, transport, blood donor, contact with a health worker, and bought a clean delivery kit; otherwise, preparedness is categorized as "poorer."
- *Immediate newborn care* is defined as "better" if all three of the following were performed for the last birth: drying, wrapping, and bathing delayed for 24 hours. If fewer than three or none of these was performed, immediate newborn care is defined as "poorer." This information was asked only for the home delivery cases, therefore, in the case of institutional delivery, we assume that immediate newborn care was good and have recoded as such.
- *Proper cord care* is defined as using a clean instrument to cut the umbilical cord and applying nothing or only chlorhexidine on the newborn's umbilical cord. Data on use of chlorhexidine are available only in the 2011 survey. This information was asked only for the home delivery cases; therefore, in the case of institutional delivery, we assume cord care was proper and have recoded as such.
- *Problem in accessing health care* is defined by the respondent's report that seeking medical care would be a significant problem for at least one of the following reasons: getting permission to go for treatment, getting money for treatment, distance to a health facility, and not wanting to go alone.
- *Exposure to public health media* is defined as exposure to any of the following specific public health television or radio programs in the last few months before the survey: *Jana swasthya radio karyakram, Janasankhaya chetanaka swore haru radio karyakram, Hamro swastha radio karyakram, Ama radio* and *Ama TV karyakram, Hamro swastha TV karyakram, Jeevan chakra TV karyakram, Thorai bhaya pugi sari TV karyakram, Sathi sanga manka kura, Jeevan jyoti radio karyakram, Sewa nai dharma ho, Gyan nai shakti ho, Ek aapas ka kura, Desh paradesh, and Teli-swasthya karyakram.*

2.3 DATA ANALYSIS

Data analysis involved three stages. First, trends were analyzed in all recoded background variables among *all births* in the five years preceding each survey. Second, log probability models were used to calculate disaggregated neonatal mortality rates by each suitable study variable, among *all births* in the five years

preceding each survey. In these models, the probability of dying during the first month of life was calculated on the log scale, and results were then exponentiated². Third, logistic regression analysis was carried out to determine the odds ratios and 95 percent confidence intervals among *most recent births* in the five years preceding each survey. All analysis was performed using Stata Standard Edition (SE) 12 (StataCorp, 2011). To account for the complex DHS sample design, analysis was performed using "svy" commands for all analysis, based on the sample stratification.

2.4 STUDY LIMITATIONS

The DHS data come from cross-sectional surveys, which collect information from respondents about past events, behaviors, and outcomes. Such self-reporting is subject to recall bias, but in the absence of a vital registry, is one of the best ways to obtain nationally representative estimates of neonatal mortality.

Over time there have been revisions in the DHS survey questionnaires and definition of some of the variables. The study team made all possible efforts to use data that are comparable across the three surveys. However, for some variables data are not complete and consistent in all three surveys (e.g., indoor air pollution, anemia). This limits the ability to analyze data across surveys and to identify trends.

Some of the information collected pertains to the time of the survey, and we assume that the situation was similar at the time of pregnancy. This assumption may not always be valid (e.g., anemia testing is done at the time of the survey; the reading might be different during pregnancy).

Most of the information in the DHS was collected for all births, but some information was collected only for most recent births within five years preceding the survey. Due to these data availability issues, part of the analysis is carried out among all births in the five years preceding the survey, and part, among most recent births only. For example, wherever possible, the distribution by background characteristics and neonatal mortality rates are calculated among all births rather than most recent births only. However, information on maternal service utilization (e.g., antenatal check-ups) and essential newborn care (e.g., delay of bathing, cord care) was collected only for most recent births. Therefore, to maintain comparability, the regression analysis was performed only for most recent births in all three surveys. Therefore, readers are advised to interpret the results with caution, especially when comparing data from different sections. As Figure 2.1 illustrates, in all three surveys there is a significant difference in neonatal mortality rates between all births in the preceding five years and most recent births. This observed difference is likely due to bias in the selection of the most recent birth sample, since this selection omits births with short spacing within the five-year period, and close spacing between births is associated with higher risk.

 $^{^2}$ Since confidence intervals around the neonatal mortality rates were estimated on the log scale and then exponentiated, the upper bound is further away than the lower bound.



Figure 2.1 Neonatal mortality, with 95 percent confidence intervals, among all births and most recent births during the five years preceding the surveys, NDHS 2001-2011

3 RESULTS

3.1 CHARACTERISTICS OF BIRTHS

Table 3.1 shows the percentage distribution, by background characteristics, of all births in the five years preceding the survey. As mentioned, some of the information (e.g., the number of antenatal care visits) was collected only for most recent births, and the denominator differs accordingly; these indicators are identified with footnotes. This chapter begins with an overview of births in the five years preceding the surveys according to place of residence, wealth status, caste and ethnicity, mother's education, maternal age at delivery, birth order, birth spacing, newborn care practices, maternal nutrition, service utilization, and other related variables (Table 3.1).

3.1.1 Background Characteristics

According to the 2011 survey, 91 percent of all births in the preceding five years occurred in rural areas, a slightly smaller percentage than in the 2001 survey, at 94 percent. In all three surveys nearly one-third of all births were among the wealthiest households, just over one-fifth were among middle households, and nearly one-half were among the least wealthy households (Table 3.1).

Education is one of the strongest influences on individuals' knowledge, attitudes, and behavior in various facets of life. There has been substantial improvement in educational level among women giving birth. In 2001, about one-quarter (26 percent) of births in the five years preceding the survey were to women who had at least primary education; in 2011, over one-half (53 percent).

In all three surveys more than 70 percent of all births occurred to women age 20-35. In the 2011 survey 44 percent of births were of second or third parity, a pattern consistent with that in previous surveys. Among non-first births, the proportion of births with at least two years of spacing has remained stagnant at around 78 percent over the three surveys.

3.1.2 Newborn Care Characteristics

Newborn care in Nepal has been improving recently. Compared with the 2006 NDHS findings, in the 2011 survey more women giving birth had "better" birth preparedness practices, having prepared at least two of the following—money, transport, blood donor, contacted health worker, and bought a clean delivery kit. In the 2011 survey 43 percent of births were to women who were "better" prepared, about twice the 22 percent reported in the 2006 survey.³ Among babies born in the five-year periods preceding the survey, about half (49 percent) received "better" immediate newborn care in 2011 compared with about one-quarter (26 percent) in 2006. In 2011, 52 percent of babies had their cords cut with safe equipment and applied nothing, or only chlorhexidine was applied⁴, compared with 34 percent in the 2006 survey.

³ Information on birth preparedness practices, immediate newborn care, and cord care was not collected in the 2001 survey.

⁴ use of chlorhexidine was reported only in the 2011 survey

3.1.3 Maternal Nutrition Characteristics

In all three surveys seven of every ten births in the last five years were to mothers of normal body mass index (BMI). There was some increase (from 3 to 10 percent) in births to obese or overweight mothers, and some decrease (from 25 to 20 percent) in births to low BMI mothers between the 2001 and 2011 surveys.

Both in the 2011 and 2006 surveys, four in ten of all births occurred to women who were anemic to some degree (mild to severe) at the time of the interview.⁵ In all three surveys, one in seven of all births occurred to mothers of short stature (<145 cm).

3.1.4 Health Care Utilization Characteristics

There has been remarkable improvement in the use of antenatal care services between 2001 and 2011. In 2001 only 14 percent of births were to mothers who had made at least four antenatal care (ANC) visits. The percentage rose to 29 percent in 2006 and then to 50 percent in the 2011 survey.

Similarly, the proportion of births taking place at health institutions doubled from each survey to the next, from 9 percent in 2001 to 18 percent in 2006 and to 35 percent in 2011. The improvement in the share of deliveries assisted by skilled birth attendants (SBA) also is encouraging—from 11 percent in 2001 to 19 percent in 2006 and 36 percent in 2011.

A postnatal visit within three days followed up less than half (45 percent) of most recent births in the five years preceding the 2011 survey. The rate was even lower in previous surveys, at 23 percent in 2006 and 25 percent in 2001.

In all three surveys respondents were asked whether or not each of the following factors would pose a significant problem for them in seeking medical care: getting permission to go for treatment, getting money for treatment, distance to a health facility, and not wanting to go alone. In the 2011 survey, 78 percent of women who gave birth reported facing at least one of those problems, a proportion that was similar to 2006 and slightly higher than in 2001

3.1.5 Environment and Media Related Characteristics

The surveys collected information about the type of fuel used for cooking and the place of cooking. Indoor air pollution is defined as use of solid fuel (coal, lignite, charcoal, wood, or other traditional materials such as agricultural crop waste or animal dung) and cooking inside the dwelling. In the 2011 survey 58 percent of births were in households with indoor air pollution, compared with 70 percent in 2006.⁶

Access to improved water supply and sanitation facilities is still poor in Nepal. This fact has impacts on everyone's health, including that of newborns. A household described as having access to improved water and sanitation facilities has both an improved source of drinking water (piped into dwelling, public tap, tube well, protected well, rain water, or bottled water) and an improved toilet facility (flush to sewer system or septic tank or pit latrine, ventilated improved pit latrine, pit latrine with slab, or composting toilet). Of births in the five

⁵ Anemia tests were not performed in the 2001 survey

⁶ Although information on the use of solid fuel is available, information on cooking place—whether inside the home or outside—is not available in the 2001 survey and thus is not included in this analysis.

years preceding the survey, in the 2011 survey 23 percent were from households with access to improved water supply and sanitation facilities, compared with 13 percent in the 2006 survey and 14 percent in the 2001 survey. The 2011 and 2006 surveys collected information on exposure to several specific public health television and radio programs.⁷ In 2011, 40 percent of births were to women who had watched or listened to at least one of these programs, compared with 50 percent in 2006.

⁷ The television and radio programs the survey asked about were: Jana swasthya radio karyakram, Janasankhaya chetanaka swore haru radio karyakram, Hamro swastha radio karyakram, Ama radio and Ama TV karyakram, Hamro swastha TV karyakram, Jeevan chakra TV karyakram, Thorai bhaya pugi sari TV karyakram, Sathi sanga manka kura, Jeevan jyoti radio karyakram, Sewa nai dharma ho, Gyan nai shakti ho, Ek aapas ka kura, Desh paradesh, and Teli-swasthya karyakram.

Trend in selected accio-economic and health indicators among all births to women age 15-40 in the five years proceeding the surveys, NDHS 2001-2011 DHS 2001 DHS 2011 DHS 2011 DHS 2001 Background variables Procent N (weighted) Procent N (weighted) Background variables N (weighted) DHS 201-2011 Background variables N (weighted) Procent N (weighted) Background variables N (weighted) DA (weighted) Note discover toget on the server toget	Table 3.1 Background characteristics										
Intervence DH3 2011 bit of the UP percent Background variables Rural 90.6 4.916 87.8 4.906 90.5 6.687 Wealth status: Highest 40 percent 31.3 1.698 32.7 1.826 32.6 2.303 Wealth status: Highest 40 percent 21.2 1.690 36.4 2.033 37.8 2.685 Caste and ethnicity: Non-diadvaritaged 67.2 3.644 63.6 3.567 62.2 4.7.5 3.34 Non-diadvaritaged 67.2 3.646 63.6 3.567 62.2 4.7.5 3.367 72.4 5.098 Ape at birth: 20.3 Syears 72.5 1.493 2.887 1.602 27.6 1.946 Birth ocer: 20 vers or 35 years 72.5 1.493 2.861 3.041 77.1 4.132 Less than two years 78.7 2.367 78.4 3.208 2.209 </td <td colspan="11">Trend in selected socio-economic and health indicators among all births to women are 15-40 in the five years preceding the surveys NDHS 2001 2011</td>	Trend in selected socio-economic and health indicators among all births to women are 15-40 in the five years preceding the surveys NDHS 2001 2011										
Decent Niveighted) Percent Niveighted) Background variables Residence: Utban 9.4 510 12.2 684 6.5 457 Residence: Utban 9.4 510 12.2 684 6.5 457 Wealth status: Highest 40 percent 21.2 1,160 20.4 1.141 19.9 1.400 Lewest 40 percent 21.2 1,160 20.4 1.141 19.9 1.400 Caste and ethnicity: Non-disalvantaged 32.8 1.780 36.4 2.032 37.8 2.665 Mother seducation: Primary or higher 52.7 2.861 39.5 2.208 2.5.6 1.805 Age at bitm: 20.45 years 72.5 3.936 71.3 3.087 72.4 5.038 Scipper seducation: Primary or higher 52.7 2.806 76.1 3.041 77.1 4.132 Age at bitm: 2.04 or 3rd 43.9 2.328 42.4 2.397 3.99 2.206 <td></td> <td></td> <td>DHS 2</td> <td>011</td> <td>DHS 20</td> <td></td> <td>DHS 2001-2011</td> <td>1</td>			DHS 2	011	DHS 20		DHS 2001-2011	1			
Background variables Urban 9.4 10.000 gr/st 10.000 gr/st 10.000 gr/st Residence: Urban 90.6 4.918 87.8 4.906 93.5 6.587 Weatin status: Highest 40 percent 31.3 1.666 32.7 1.226 32.6 2.300 Caste and ethnicity: Non-disolvantaged 07.2 3.04 63.0 2.022 47.5 3.34 Caste and ethnicity: Non-disolvantaged 07.2 3.048 63.0 3.057 62.2 4.379 Mother's education: Prinary or higher 62.7 2.861 39.67 62.2 4.60 Age at birth: 20.35 years 77.5 1.493 28.7 1.602 27.6 1.906 Birth order: 2nd or 3d 43.9 2.387 1.602 27.7 1.433 2.868 Birth order: 2nd or 3d 43.9 2.387 1.602 27.6 1.946 Birth order: 2nd or 3d 43.9 2.388 7.1 1.171		-	Percent N (weighted)		Percent	N (weighted)	Percent N (weighted)				
Residence: Utan 9.4 510 12.2 684 6.5 4.57 Weath satus: Highest 40 percent 31.3 1.068 32.7 1.826 32.6 2.300 Medide 20 percent 21.2 1.180 20.4 1.141 19.9 1.400 Caste and ethnicity: Non-disadvantaged 32.8 1.780 36.4 2.033 37.8 2.666 Diadvantaged 67.2 3.644 36.6 3.667 62.2 4.370 Mother seducation: Primary or higher 52.7 2.861 39.5 2.208 25.6 1.805 Age at brith: 20.35 years 72.5 3.936 71.3 3.897 72.4 5.098 Sitr or 4ft or more 56.1 3.046 57.6 3.229 2.206 2.436 Bith spacing, ¥ More than two years 77.7 2.816 76.1 3.041 77.1 4.132 Less than two years 27.5 2.357 78.4 3.238 na <	Background variables			(weighted)	1 crociti	(weighted)		weighted)			
Pural 90.6 4.918 67.8 4.909 93.5 6.537 Wealth status: Highest 40 percent 21.2 1.160 22.4 1.828 32.6 2.300 Caste and ethnicity: Non-disadvantaged 32.8 1.780 86.4 2.632 47.5 3.344 Caste and ethnicity: Non-disadvantaged 67.2 3.646 6.36 3.657 62.2 4.75 3.346 Mother's education: Primary or higher 52.7 2.881 93.5 2.208 2.567 60.5 3.382 74.3 5.239 Ape at birth: 20.39 years 72.5 1.493 2.827 1.002 27.6 1.946 Birth arge: 2.04 or 3rd 43.8 2.322 42.4 2.307 72.4 5.008 Birth spacing: ¥ More than two years 77.7 2.816 77.5 3.237 0.02 2.4.38 Birth spacing: ¥ More than two years 77.5 2.357 78.4 3.238 na I	Residence:	Urban	9.4	510	12.2	684	6.5	457			
Wealth status: Highest 40 percent 31.3 1.688 32.7 1.820 32.6 2.300 Caste and ethnicity: Non-disadvantaged 32.8 1.150 20.4 1.111 19.9 1.400 Caste and ethnicity: Non-disadvantaged 32.8 1.780 36.4 2.033 37.8 2.665 Disadvantaged 67.2 3.644 63.6 3.657 62.2 4.379 Mother's education. Primary or higher 52.7 2.861 39.5 2.268 25.6 1.805 Age at bithit: 20.93 years 72.5 3.936 71.3 3.987 72.4 5.938 Eith order: 2.nd or 3rd 43.9 2.382 42.4 2.387 39.9 2.808 Eith spacing: ¥ More than two years 71.7 2.816 76.1 3.041 77.1 4.132 Bith peparedness [*] § Better 42.5 1.743 2.16 76.1 3.041 77.1 4.132 Deorer 57.5 <td< td=""><td></td><td>Rural</td><td>90.6</td><td>4.918</td><td>87.8</td><td>4,906</td><td>93.5</td><td>6.587</td></td<>		Rural	90.6	4.918	87.8	4,906	93.5	6.587			
Middle 20 percent 21.2 1150 20.4 1.141 10.9 1.400 Lowed 40 percent 47.5 2.580 46.9 2.822 47.5 3.344 Caste and ethnicity: Non-disadvantaged 52.8 1.780 36.4 2.033 37.8 2.065 Mother's education: Primary or higher 52.7 2.861 39.5 2.208 2.665 1.805 Age at birth: 20.35 years 72.5 3.936 71.3 3.987 72.4 5.098 -20 year or >35 years 72.5 1.483 2.8.7 1.062 27.6 1.946 Birth order: 20 or 3rd 43.9 2.382 42.4 2.367 39.9 2.808 Birth order: 20 or 3rd 43.9 2.382 42.4 2.367 39.9 2.808 Birth order: 20 or 3rd 73.8 2.2.9 1.226 Nethore marker No 4.3.2 1.743 3.44 2.367 1.74 3.2.9 1.2.26 Na 1.946<	Wealth status:	Highest 40 percent	31.3	1.698	32.7	1,826	32.6	2,300			
Lowest 40 percent 47.5 2.580 46.9 2.622 47.5 3.344 Caste and ethnicity: Non-disadvantaged 67.2 3.0448 63.6 3.567 62.2 4.378 Mother's education: Primary or higher 62.7 2.861 39.5 2.208 2.56 1.805 Age at birth: 20.35 years 72.5 1.493 2.867 60.2 2.78 1.946 education 43.9 2.382 42.4 2.387 73.9 2.808 Birth order: 2.01 or 3rd 43.9 2.382 42.4 2.387 39.9 2.808 Birth spacing: ¥ More than two years 78.7 2.816 78.1 3.041 77.1 4.1325 Birth proparedness ⁵ Better 42.5 1.743 21.6 882 na Poorer 57.3 2.367 78.4 3.238 na 1 Method name Poorer 51.3 2.077 74.3 2.949 na Pr		Middle 20 percent	21.2	1,150	20.4	1,141	19.9	1,400			
Caste and ethnicity: Non-disadvantaged 32.8 1.780 36.4 2.033 37.8 2.665 Mother's education: Primary or higher 52.7 2.861 39.5 2.208 2.557 62.2 4.379 Age at birth: 20-35 years 72.5 3.930 77.3 3.997 72.4 5.039 Age at birth: 20-35 years 72.5 1.493 2.827 1.602 27.6 1.946 Birth order: 20-years or >35 years 72.5 1.493 2.832 42.4 2.367 39.9 2.808 Birth order: Less than two years 77.7 2.816 77.8 3.041 77.1 4.132 Less than two years 77.5 2.357 78.4 3.239 na 1 1 1.32 1.77 74.3 2.494 na 1 1.99 2.57 1.018 na 1 1.99 1.226 na 1.905 1.333 na 1.905 1.74 5.017 1.018 1.905		Lowest 40 percent	47.5	2,580	46.9	2.622	47.5	3.344			
Disadvantaged* 67.2 3.648 63.6 9.557 6.22 4.379 Mother's education: Primary or higher 52.7 2.861 39.5 2.208 25.6 1.805 Age at brith: 20-35 years 72.5 3.936 71.3 3.987 72.4 5.098 -20 years or 35 years 27.5 1.493 22.7 1.002 27.6 1.946 Birth order: 2.nd or 3rd 43.9 2.382 42.4 2.387 39.9 2.808 Birth order: 2.nd or 3rd 43.9 2.382 42.4 2.387 30.9 2.808 Birth spacing: ¥ More than two years 76.7 2.816 76.1 3.041 77.1 4.132 Newborn care Beter 42.5 1.743 2.16 882 na Immediate newborn care ⁵ § Better 46.7 1.974 25.7 1.018 na Poorer 51.3 2.077 74.3 2.949 na 1.006 56.7	Caste and ethnicity:	Non-disadvantaged	32.8	1,780	36.4	2.033	37.8	2.665			
Mother's education: Primary or Nigher 52.7 2.861 39.5 2.208 25.6 1.805 Age at birth: 20-35 years 72.5 3.936 71.3 3.967 72.4 5.098 Birth order: 2nd or 3rd 43.9 2.322 42.4 2.367 39.9 2.608 Birth order: 2nd or 3rd 43.9 2.322 42.4 2.367 39.9 2.608 Birth opacing: ¥ More than two years 21.3 762 21.9 853 22.9 1.226 Newborn care Less than two years 21.3 762 21.6 862 na Immediate newborn care ³ : § Better 42.5 1.743 2.1.6 862 na Poorer 57.5 2.307 78.4 3.238 na 1.226 No 48.0 1.999 65.7 2.664 na 1.602 1.631 71.4 5.017 Low (145.5) 70.5 1.844 69.0 1.831 71.4		Disadvantaged ¹	67.2	3,648	63.6	3,557	62.2	4,379			
No education 47.3 2.567 60.5 3.382 74.3 5.239 Age at bitht: 20-35 years 72.5 3.936 71.3 3.987 72.4 5.039 Bith order: 2 ord or 3rd 43.9 2.382 42.4 2.367 39.9 2.808 Bith order: 2 ord or 3rd 43.9 2.382 42.4 2.367 39.9 2.808 Bith order: Ver than two years 78.7 2.816 78.1 3.041 77.1 4.132 Bith preparedness ⁵ : Better 42.5 1.743 21.6 862 na Poorer 57.5 2.357 78.4 3.238 na - Immediate newborn care ³ : § Better 48.7 1.974 25.7 1.018 na Poorer 51.3 2.077 74.3 2.449 na - Proper cord care ⁶ : Yes 52.0 2.155 34.3 1.393 na Matemal nurtition No <td>Mother's education:</td> <td>Primary or higher</td> <td>52.7</td> <td>2,861</td> <td>39.5</td> <td>2,208</td> <td>25.6</td> <td>1,805</td>	Mother's education:	Primary or higher	52.7	2,861	39.5	2,208	25.6	1,805			
Age at birth: 20.35 years 72.5 3.936 71.3 3.987 72.4 5.038 Birth order: 20 years or >35 years 27.5 1.483 28.7 1.662 27.6 1.946 Birth order: 20 drd or 3rd 43.9 2.382 42.4 2.267 39.9 2.008 Birth spacing: ¥ More than two years 78.7 2.816 78.1 3.041 77.1 4.132 Birth propared ness ⁵ : § Better 42.5 1.743 21.6 862 na Poorer 57.5 2.357 78.4 3.238 na 1.226 Newborn care ³ : § Better 42.5 1.743 2.16 862 na Proper cord care ⁶ : Yes 52.0 2.155 34.3 1.933 na Maternal nutrition EMI: H Normal (18.5-25) 70.5 1.844 69.0 1.831 71.4 5.017 Coverweight/bebese (>25) 9.8 257 5.7 319 3.4 242		No education	47.3	2,567	60.5	3,382	74.3	5,239			
-20 years or >35 years 27.5 1,493 28.7 1,602 27.6 1,946 Birth order: 2nd or 3rd 43.9 2,382 42.4 2,367 39.9 2,608 Birth order: 2nd or 3rd 43.9 2,382 42.4 2,367 39.9 2,608 Birth spacing: ¥ More than two years 78.7 2,816 78.1 3,041 77.1 4,132 Birth preparedness ² : § Better 42.5 1,743 21.6 862 na Poorer 57.5 2,367 78.4 3,238 na Proper cord care ⁶ : § Better 48.7 1.974 25.7 1.018 na Proper cord care ⁶ : Yes 52.0 2.155 34.3 1.393 na Maternal nutrition Normal (18.5.25) 70.5 1.844 69.0 1.831 77.4 5.07 BM: ¼ Normal (18.5.26) 19.6 513 2.263 na 5.664 na	Age at birth:	20-35 vears	72.5	3.936	71.3	3.987	72.4	5.098			
Birth order: 2nd or 3rd 43.9 2.382 42.4 2.367 39.9 2.408 Birth spacing: ¥ More than two years 56.1 3.046 57.6 3.223 60.2 4.236 Birth spacing: ¥ More than two years 21.3 762 21.9 853 22.9 1.226 Newborn care Birth preparedness ² : § Better 42.5 1.743 21.6 862 na Poorer 57.5 2.367 78.4 3.238 na 1.974 1.018 na Immediate newborn care ¹ : § Better 48.7 1.974 25.7 1.018 na Proper cord care ¹ : Yes 52.0 2.155 34.3 1.393 na Maternal nutrition BMI: H No 48.0 1.989 65.7 2.664 na Stature: X Normal (18.5-25) 70.5 1.844 69.0 1.831 71.4 5.017 Low (<16.5)	3	<20 years or >35 years	27.5	1,493	28.7	1,602	27.6	1,946			
Istor 4th or more 56.1 3.046 57.6 3.223 60.2 4.236 Birth spacing: ¥ More than two years 78.7 2.816 78.1 3.041 77.1 4,132 Less than two years 21.3 762 21.9 863 22.9 1.226 Newborn care Better 42.5 1,743 21.6 862 na Poorer 57.5 2.387 78.4 3.238 na 1.226 Immediate newborn care ¹ : § Better 48.7 1.974 25.7 1.018 na Proper cord care ⁴ : Yes 52.0 2.155 34.3 1.993 na Maternal nutrition Haternal nutrition Nomal (18.5-25) 70.5 1.844 69.0 1.831 71.4 5.017 BM: X Normal (18.5-25) 19.6 513 25.3 1.406 25.1 1.772 Overweight/obsee (255) 9.8 2.266 85.7 4.763 84.8 5.960 Stature: H	Birth order:	2nd or 3rd	43.9	2,382	42.4	2,367	39.9	2,808			
Birth spacing: ¥ More than two years 78.7 2.816 78.1 3.041 77.1 4.132 Newborn care Birth preparedness ² . § Better 42.5 1.743 21.6 862 na Immediate newborn care ¹ . § Better 42.5 1.743 21.6 862 na Immediate newborn care ¹ . § Better 48.7 1.974 22.7 1.016 na Proper cord care ¹ . § Better 48.7 1.974 25.7 1.018 na Proper cord care ¹ . § Better 48.7 2.077 74.3 2.949 na Maternal nutrition No 48.0 1.889 65.7 2.664 na BM: H Normal (18.5-25) 70.5 1.844 69.0 1.831 71.4 5.017 Anemia ⁶ : H No 62.1 1.066 51.3 2.231 na Stature: H Normal (18.5-25) 9.8 257 5.7 319 3.4 242 Anc 4+ vi		1st or 4th or more	56.1	3,046	57.6	3,223	60.2	4,236			
Less than two years 21.3 762 21.9 853 22.9 1,226 Newborn care Birth preparedness ⁵ § Better 42.5 1,743 21.6 862 na Immediate newborn care ⁶ . § Better 48.7 1,974 25.7 1,018 na Proper cord care ⁵ . § Better 48.7 1,974 25.7 1,018 na Proper cord care ⁵ . Yes 52.0 2,155 34.3 1,393 na Maternal nutrition No 48.0 1,999 65.7 2,664 na BMI: H Normal (18.5,25) 70.5 1,844 69.0 1,831 71.4 5,017 Low (<18.5)	Birth spacing: ¥	More than two years	78.7	2,816	78.1	3,041	77.1	4,132			
Newborn care Birth preparedness ² : § Better 42.5 1,743 21.6 862 na Immediate newborn care ³ : § Better 48.7 1,974 25.7 1,018 na Proper cord care ⁴ : Yes 52.0 2,155 34.3 1,393 na Proper cord care ⁴ : Yes 52.0 2,155 34.3 1,393 na Maternal nutrition No 48.0 1,989 65.7 2,664 na Maternal nutrition No 48.0 1,989 65.7 2,664 na Maternal nutrition No 48.0 1,881 71.4 5,017 Low (<18.5)		Less than two years	21.3	762	21.9	853	22.9	1,226			
Birth preparedness ² : § Better 42.5 1,743 21.6 862 na Immediate newborn care ⁵ : § Better 48.7 1.974 25.7 1.018 na Proper cord care ⁶ : Yes 52.0 2.155 34.3 1.393 na Proper cord care ⁶ : Yes 52.0 2.155 34.3 1.393 na Maternal nutrition No 48.0 1.989 65.7 2.664 na BM: H Normal (18.5-25) 70.5 1.844 69.0 1.831 71.4 5.017 Overweight/obese (>25) 9.8 257 5.7 319 3.4 242 Anemia ⁵ : H No 62.1 1.066 59.6 3.288 na Stature: H Normal (>145 cm) 87.4 2.286 85.7 4.763 84.8 5.960 Service utilization ANC 4+ visits: § Yes 50.3 2.063 29.5 1.182 14.3 668 Institutional delivery:	Newborn care										
International properties Poorer 57.5 2,35 78.4 3,238 na Immediate newborn care ³ , § Better 48.7 1,974 25.7 1,018 na Proper cord care ⁴ . Yes 52.0 2,155 34.3 1,393 na Maternal nutrition No 48.0 1,989 65.7 2,664 na BM: H Normal (18.5-25) 70.5 1,844 69.0 1,831 71.4 5,017 EMI: H Normal (18.5-25) 70.5 1,844 69.0 1,831 71.4 5,017 EMI: H Normal (18.5-25) 70.5 1,844 69.0 1,831 71.4 5,017 Coverweight/obese (>25) 9.8 257 5.7 319 3.4 242 Anemia ⁵ : K No 62.1 1,066 59.6 3,288 na Stature: H Normal (>145 cm) 87.4 2,286 85.7 4,763 84.8 5,960 Institutional delivery: <td< td=""><td>Birth preparedness². §</td><td>Better</td><td>42.5</td><td>1 743</td><td>21.6</td><td>862</td><td>na</td><td></td></td<>	Birth preparedness ² . §	Better	42.5	1 743	21.6	862	na				
Immediate newborn care ³ : § Better 48.7 1.974 25.7 1.018 na Proper cord care ⁴ : Yes 52.0 2,155 34.3 1,393 na Maternal nutrition No 48.0 1,989 65.7 2,664 na BMI: H Normal (18.5-25) 70.5 1,844 69.0 1,831 71.4 5,017 Low (<18.5)	Diai proparodiloco : 3	Poorer	57.5	2,357	78.4	3 238	na				
Poorer 51.3 2.077 74.3 2.949 na Proper cord care ⁴ : Yes 52.0 2,155 34.3 1,393 na Maternal nutrition No 48.0 1,989 65.7 2,664 na BMI: H Normal (18.5-25) 70.5 1.844 69.0 1.831 71.4 5.017 Low (<18.5)	Immediate newborn care3: §	Better	48.7	1.974	25.7	1.018	na				
Proper cord care ⁴ : Yes 52.0 2,155 34.3 1,393 na Maternal nutrition BMI: H Normal (18.5-25) 70.5 1,844 69.0 1,831 71.4 5,017 Low (<18.5)		Poorer	51.3	2.077	74.3	2,949	na				
No 48.0 1.989 65.7 2.664 na Maternal nutrition BMI: H Normal (18.5-25) 70.5 1.844 69.0 1.831 71.4 5.017 Low (<18.5)	Proper cord care ⁴ :	Yes	52.0	2,155	34.3	1,393	na				
Maternal nutrition BMI: H Normal (18.5-25) Low (<18.5) 70.5 1.844 69.0 1.831 71.4 5.017 Anemia ⁵ : H No 62.1 19.6 513 25.3 1.405 25.1 1.772 Overweight/obese (>25) 9.8 257 5.7 319 3.4 242 Anemia ⁵ : H No 62.1 1.066 59.6 3.288 na Stature: H Normal (>145 cm) 87.4 2.286 85.7 4.763 84.8 5.960 Service utilization ANC (<145 cm)		No	48.0	1.989	65.7	2.664	na				
BMI: H Normal (18.5-25) 70.5 1.844 69.0 1.831 71.4 5.017 Low (<18.5)	Maternal nutrition			.,		_,					
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	BMI: *	Normal (18.5-25)	70.5	1.844	69.0	1.831	71.4	5.017			
Overweight/obese (>25) 9.8 257 5.7 319 3.4 242 Anemia ⁵ : H No 62.1 1,066 59.6 3,288 na Stature: H Normal (>145 cm) 87.4 2,286 85.7 4,763 84.8 5,960 Short (<145 cm)		Low (<18.5)	19.6	513	25.3	1,405	25.1	1.772			
Anemia ⁶ : H No 62.1 1,066 59.6 3,288 na Anemia ⁶ : H Normal (>145 cm) 87.4 2,286 85.7 4,763 84.8 5,960 Stature: H Normal (>145 cm) 87.4 2,286 85.7 4,763 84.8 5,960 Service utilization 12.6 331 14.3 793 15.2 1,071 Service utilization ANC 4+ visits: § Yes 50.3 2,063 29.5 1,182 14.3 668 NO 49.7 2,037 70.5 2,831 85.7 4,016 Institutional delivery: Yes 35.2 1,880 17.7 971 9.0 625 No 64.8 3,463 82.3 4,532 91.0 6,296 Delivery by SBA ⁶ : Yes 35.9 1,918 18.7 1,028 10.8 750 No 65.1 2,258 77.1 3,091 75.2 3,557 Problem in accessing <		Overweight/obese (>25)	9.8	257	5.7	319	3.4	242			
Yes 37.9 978 40.4 2.231 naStature: \mathcal{H} Normal (>145 cm) 87.4 2.286 85.7 4.763 84.8 $5,960$ Short (<145 cm)	Anemia⁵:)(No	62.1	1,066	59.6	3,288	na				
Stature: H Normal (>145 cm) 87.4 2,286 85.7 4,763 84.8 5,960 Service utilization 12.6 331 14.3 793 15.2 1,071 Service utilization 2,063 29.5 1,182 14.3 668 NO 49.7 2,037 70.5 2,831 85.7 4,016 Institutional delivery: Yes 35.2 1,880 17.7 971 9.0 625 No 64.8 3,463 82.3 4,532 91.0 6,296 Delivery by SBA ⁶ : Yes 35.9 1,918 18.7 1,028 10.8 750 No 64.1 3,424 81.3 4,464 89.2 6,172 Postnatal visit: § Yes 78.2 1,258 77.1 3,091 75.2 3,557 Problem in accessing No 21.8 1,183 22.5 1,260 14.5 1,024 health care ⁷ : Yes 78.2		Yes	37.9	978	40.4	2,231	na				
Short (<145 cm) 12.6 331 14.3 793 15.2 1,071 Service utilization ANC 4+ visits: § Yes 50.3 2,063 29.5 1,182 14.3 668 No 49.7 2,037 70.5 2,831 85.7 4,016 Institutional delivery: Yes 35.2 1,880 17.7 971 9.0 625 No 64.8 3,463 82.3 4,532 91.0 6,296 Delivery by SBA ⁶ : Yes 35.9 1,018 18.7 1,028 10.8 750 No 64.1 3,424 81.3 4,464 89.2 6,172 Postnatal visit: § Yes 44.9 1,841 22.9 919 24.8 1,172 No 55.1 2,258 77.1 3,091 75.2 3,557 Problem in accessing health care ⁷ : Yes 78.2 4,245 77.5 4,330 85.5 6,020 Other Indoor air polluti	Stature: H	Normal (>145 cm)	87.4	2,286	85.7	4,763	84.8	5,960			
Service utilization ANC 4+ visits: § Yes 50.3 2,063 29.5 1,182 14.3 668 No 49.7 2,037 70.5 2,831 85.7 4,016 Institutional delivery: Yes 35.2 1,880 17.7 971 9.0 625 No 64.8 3,463 82.3 4,532 91.0 6,296 Delivery by SBA ⁶ : Yes 35.9 1,918 18.7 1,028 10.8 750 No 64.1 3,424 81.3 4,464 89.2 6,172 Postnatal visit: § Yes 44.9 1,841 22.9 919 24.8 1,172 No 55.1 2,258 77.1 3,091 75.2 3,557 Problem in accessing No 21.8 1,183 22.5 1,260 14.5 1,024 health care ⁷ : Yes 78.2 2,297 30.4 1,697 na Indoor air pollution ⁸ : No		Short (<145 cm)	12.6	331	14.3	793	15.2	1,071			
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Service utilization	· · · ·									
No 49.7 2,037 70.5 2,831 85.7 4,016 Institutional delivery: Yes 35.2 1,880 17.7 971 9.0 625 No 64.8 3,463 82.3 4,532 91.0 6,296 Delivery by SBA ⁶ : Yes 35.9 1,918 18.7 1,028 10.8 750 No 64.1 3,424 81.3 4,464 89.2 6,172 Postnatal visit: § Yes 44.9 1,841 22.9 919 24.8 1,172 No 55.1 2,258 77.1 3,091 75.2 3,557 Problem in accessing health care ⁷ : Yes 78.2 4,245 77.5 4,330 85.5 6,020 Other Indoor air pollution ⁸ : No 42.3 2,297 30.4 1,697 na Improved water Yes 57.7 3,131 69.6 393 na Improved water Yes 22.6 <td< td=""><td>ANC 4+ visits: §</td><td>Yes</td><td>50.3</td><td>2,063</td><td>29.5</td><td>1,182</td><td>14.3</td><td>668</td></td<>	ANC 4+ visits: §	Yes	50.3	2,063	29.5	1,182	14.3	668			
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	-	No	49.7	2,037	70.5	2,831	85.7	4,016			
No 64.8 3,463 82.3 4,532 91.0 6,296 Delivery by SBA ⁶ : Yes 35.9 1,918 18.7 1,028 10.8 750 No 64.1 3,424 81.3 4,464 89.2 6,172 Postnatal visit: § Yes 44.9 1,841 22.9 919 24.8 1,172 No 55.1 2,258 77.1 3,091 75.2 3,557 Problem in accessing health care ⁷ : Yes 78.2 4,245 77.5 4,330 85.5 6,020 Other Indoor air pollution ⁸ : No 42.3 2,297 30.4 1,697 na Improved water Yes 57.7 3,131 69.6 393 na Improved water Yes 22.6 1,224 12.8 717 14.1 996 and sanitation ⁸ : No 77.4 4,204 87.2 4,873 85.9 6,048 Exposed to public Yes	Institutional delivery:	Yes	35.2	1,880	17.7	971	9.0	625			
Delivery by SBA ⁸ : Yes 35.9 $1,918$ 18.7 $1,028$ 10.8 750 No 64.1 $3,424$ 81.3 $4,464$ 89.2 $6,172$ Postnatal visit: § Yes 44.9 $1,841$ 22.9 919 24.8 $1,172$ No 55.1 $2,258$ 77.1 $3,091$ 75.2 $3,557$ Problem in accessing No 21.8 $1,183$ 22.5 $1,260$ 14.5 $1,024$ health care ⁷ : Yes 78.2 $4,245$ 77.5 $4,330$ 85.5 $6,020$ Other Yes 57.7 $3,131$ 69.6 3933 na Indoor air pollution ⁸ : No 42.3 $2,297$ 30.4 $1,697$ na Improved water Yes 22.6 $1,224$ 12.8 717 14.1 996 and sanitation ⁸ : No 77.4 $4,204$ 87.2 $4,873$ 85.9		No	64.8	3,463	82.3	4,532	91.0	6,296			
$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	Delivery by SBA ⁶ :	Yes	35.9	1,918	18.7	1,028	10.8	750			
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		No	64.1	3,424	81.3	4,464	89.2	6,172			
No 55.1 2,258 77.1 3,091 75.2 3,557 Problem in accessing health care ⁷ : No 21.8 1,183 22.5 1,260 14.5 1,024 health care ⁷ : Yes 78.2 4,245 77.5 4,330 85.5 6,020 Other Indoor air pollution ⁸ : No 42.3 2,297 30.4 1,697 na Improved water Yes 57.7 3,131 69.6 393 na Improved water Yes 22.6 1,224 12.8 717 14.1 996 and sanitation ⁹ : No 77.4 4,204 87.2 4,873 85.9 6,048 Exposed to public Yes 40.2 2,183 49.7 2,776 na health media: No 59.8 3,245 50.3 2,814 na	Postnatal visit: §	Yes	44.9	1,841	22.9	919	24.8	1,172			
Problem in accessing health care ⁷ : No 21.8 1,183 22.5 1,260 14.5 1,024 health care ⁷ : Yes 78.2 4,245 77.5 4,330 85.5 6,020 Other Indoor air pollution ⁸ : No 42.3 2,297 30.4 1,697 na Yes 57.7 3,131 69.6 393 na Improved water Yes 22.6 1,224 12.8 717 14.1 996 and sanitation ⁹ : No 77.4 4,204 87.2 4,873 85.9 6,048 Exposed to public Yes 40.2 2,183 49.7 2,776 na health media: No 59.8 3,245 50.3 2.814 na	-	No	55.1	2,258	77.1	3,091	75.2	3,557			
health care ⁷ : Yes 78.2 4,245 77.5 4,330 85.5 6,020 Other Indoor air pollution ⁸ : No 42.3 2,297 30.4 1,697 na Yes 57.7 3,131 69.6 393 na Improved water Yes 22.6 1,224 12.8 717 14.1 996 and sanitation ⁹ : No 77.4 4,204 87.2 4,873 85.9 6,048 Exposed to public Yes 40.2 2,183 49.7 2,776 na health media: No 59.8 3,245 50.3 2.814 na	Problem in accessing	No	21.8	1,183	22.5	1,260	14.5	1,024			
Other Indoor air pollution ⁸ : No 42.3 2,297 30.4 1,697 na Yes 57.7 3,131 69.6 393 na Improved water Yes 22.6 1,224 12.8 717 14.1 996 and sanitation ⁹ : No 77.4 4,204 87.2 4,873 85.9 6,048 Exposed to public Yes 40.2 2,183 49.7 2,776 na health media: No 59.8 3,245 50.3 2.814 na	health care ⁷ :	Yes	78.2	4,245	77.5	4,330	85.5	6,020			
Indoor air pollution ⁸ : No 42.3 2,297 30.4 1,697 na Yes 57.7 3,131 69.6 393 na Improved water Yes 22.6 1,224 12.8 717 14.1 996 and sanitation ⁰ : No 77.4 4,204 87.2 4,873 85.9 6,048 Exposed to public Yes 40.2 2,183 49.7 2,776 na health media: No 59.8 3,245 50.3 2.814 na	Other										
Yes 57.7 3,131 69.6 393 na Improved water Yes 22.6 1,224 12.8 717 14.1 996 and sanitation ⁰ : No 77.4 4,204 87.2 4,873 85.9 6,048 Exposed to public Yes 40.2 2,183 49.7 2,776 na health media: No 59.8 3,245 50.3 2.814 na	Indoor air pollution ^{8.}	No	42.3	2 297	30.4	1 697	na				
Improved water Yes 22.6 1,224 12.8 717 14.1 996 and sanitation ⁹ : No 77.4 4,204 87.2 4,873 85.9 6,048 Exposed to public Yes 40.2 2,183 49.7 2,776 na health media: No 59.8 3,245 50.3 2,814 na		Yes	57.7	3.131	69.6	393	na				
and sanitation ⁹ : No 77.4 4,204 87.2 4,873 85.9 6,048 Exposed to public Yes 40.2 2,183 49.7 2,776 na health media: No 59.8 3,245 50.3 2,814 na	Improved water	Yes	22.6	1 224	12.8	717	14 1	996			
Exposed to public Yes 40.2 2,183 49.7 2,776 na health media: No 59.8 3,245 50.3 2,814 na	and sanitation ⁹ :	No	77.4	4,204	87.2	4.873	85.9	6.048			
health media: No 59.8 3,245 50.3 2,814 na	Exposed to public	Yes	40.2	2,183	49.7	2,776	na	0,010			
	health media:	No	59.8	3,245	50.3	2,814	na				

¹<u>Disadvantaged</u>: Hill Dalit, Terai Dalit, Hill Janajati (except for Gurung, Thakali, Magar), Terai Janajati, Other Terai Caste, or Muslim ²<u>Birth preparedness</u>: at least two of the following preparations is defined as "better" and less than two is defined as "poorer": money, transport,

blood donor, contact with health worker, and bought clean delivery kit

³ <u>Immediate newborn care</u>: having all three of the following is defined as "better" and having less than three is defined as "poorer": drying, wrapping, and delayed bathing

⁴ <u>Proper cord care</u>: use of clean instrument and applied nothing or only chlorhexidine on the cord
⁵ <u>Skilled birth attendants</u>: doctor, nurse or midwife
⁶ <u>Anemia</u>: <12.0 g/dl for non-pregnant and <11.0g/dl for pregnant women
⁷ <u>Problem accessing health care</u>; difficulty due to at least one of the following: getting permission to go for treatment, getting money for treatment, distance to a health facility, and not wanting to go alone

⁸ Indoor air pollution: cooking inside the home using solid fuel ⁹ Access to improved water and sanitation: households with access to both improved drinking water and improved toilet facility

§ Among most recent births

¥ Anthropometry was collected in every second household in the 2011 survey, so estimates are based on a subset of all women ¥ First-born babies (34% in 2011, 30% in 2006, and 24% in 2001) were excluded from the birth spacing calculation

3.2 TIME OF NEONATAL DEATH

Globally, most newborn deaths occur in the early neonatal period (deaths between 0-6 days), and as neonatal mortality declines, the proportion of deaths that occur in the early neonatal period typically increases. Analysis of the three surveys finds a similar pattern in Nepal. Figure 3.1 shows the distribution of all neonatal deaths by age at death (in days) for the three surveys. The proportion of early neonatal deaths increased between the 2001 and 2011 surveys. Early neonatal deaths accounted for 69 percent of neonatal deaths in 2001, 70 percent in 2006, and 85 percent in 2011. In the 2011 survey 35 percent of all newborn deaths were in the first day. (Annex table A.1 shows the distribution of neonatal deaths by age at death in days and by survey year.)



Figure 3.1 Distribution of reported neonatal deaths by age at death in days (weighted count)

3.3 NEONATAL MORTALITY BY BACKGROUND CHARACTERISTICS

Table 3.2 shows neonatal mortality among all births in the five-year periods preceding the surveys by background characteristics, with 95 percent confidence intervals. Some of the information on newborn care and utilization of maternal health care (e.g., ANC visit) was collected only for most recent births, and, thus, the denominator differs from other variables; this is noted in footnotes.

3.3.1 Background Characteristics

Consistently in all three surveys, neonatal mortality has been higher in rural areas than in urban areas. In the 2011 survey the neonatal mortality rate was 34 deaths per 1,000 live births in rural areas among births in the five years preceding the survey, whereas in urban areas the rate was 23 deaths per 1,000 live births. Also, the neonatal mortality rate is higher among middle and least wealthy households than among the wealthiest households. There is a wide gap in neonatal mortality between disadvantaged and non-disadvantaged caste and ethnic groups in all three surveys. In the 2011 survey the neonatal mortality rate was 36 deaths per 1,000 live births among babies born into disadvantaged caste and ethnic groups and 26 deaths per 1,000 live births among babies born in non-disadvantaged caste and ethnic groups.

Mother's education also plays a major role in the neonatal mortality rate, with 38 deaths per 1,000 live births among mothers with no education compared with 28 deaths per 1,000 live births among mothers with at least primary education, in the 2011 survey. Mother's age also plays a role. In the 2011 survey neonatal mortality was 40 deaths per 1,000 live births among those born to younger or older mothers (<20 years or >35 years) compared with 30 deaths per 1,000 live births among mothers age 20-35.

Also, in the 2001 and 2006 surveys, the neonatal mortality rate was higher for first or fourth or higher birth order than for second or third births, but in 2011 there was little difference in the neonatal mortality rate

between these two categories (Table 3.2). As for birth spacing, neonatal mortality reported in the 2011 survey was 54 deaths per 1,000 live births among those with less than two years of birth spacing but 23 deaths per 1,000 live births among those with more than two years of spacing. Among first births the rate was 39 deaths per 1,000 live births.

3.3.2 Newborn Care Characteristics

Information on newborn care was collected only for most recent births in the five years preceding the survey. Thus, the neonatal mortality rate stratified by newborn care variables should be compared with the overall neonatal mortality rates for most recent births in the five years preceding the survey, which were 18 deaths per 1,000 live births in 2011, 18 in 2006, and 23 in 2001 (Figure 2.2).

Only the 2011 and 2006 surveys contain information on newborn care. Among births to mothers who were better prepared to give birth, the neonatal mortality rate in the 2011 survey was 13 deaths per 1,000 live births, while among births to women who were poorly prepared the rate was higher, at 22 deaths per 1,000 live births. Neonatal mortality among babies who received good immediate newborn care was 12 deaths per 1,000 live births in the 2011 survey compared with 18 among babies who did not receive good immediate care. Cord care practices also affect neonatal mortality rates. Among those who had good cord care, the neonatal mortality rate was 18 deaths per 1,000 live births in 2011 compared with 20 deaths per 1,000 live births among those who did not have good cord care. The difference was similar in the 2006 survey (Table 3.2).

3.3.3 Maternal Nutrition Characteristics

Neonatal mortality rates are calculated for key indicators related to maternal nutrition. As in the earlier surveys, in 2011 neonatal mortality was higher among babies born to women of short stature (67 deaths per 1,000 live births) than among those born to women of normal stature (30 deaths per 1,000 live births). In all three surveys, neonatal mortality was lower among babies whose mother was obese or overweight, compared with babies whose mother had a normal or low BMI. Surprisingly, the neonatal mortality rate in 2011 was lower among anemic mothers (31 deaths per 1,000 live births) than among non-anemic mothers (36 deaths per 1,000 live births), a pattern also seen in 2006.

3.3.4 Health Care Utilization Characteristics

Some information on maternal health care utilization was collected for most recent births only, and some for all births in the five years preceding the survey. In the 2011 survey the neonatal mortality rate was 13 deaths per 1,000 live births among those whose mothers had made at least four antenatal care visits, much lower than the rate of 24 deaths per 1,000 live births among those whose mothers had fewer than four antenatal visits, among most recent births. The pattern was similar in the earlier surveys. Also, neonatal mortality among babies born to a mother who had a postnatal visit within three days of birth was 17 deaths per 1,000 live births, compared with a rate of 19 among those who had not had a postnatal visit, among most recent births in the five years prior to the survey.

The neonatal mortality rate in the five years preceding the survey among those who were delivered at a health institution was 26 deaths per 1,000 live births, lower than the rate of 36 deaths per 1,000 live births among those

who were delivered elsewhere. Similarly, neonatal mortality among those who were born with the assistance of skilled birth attendants was 27 deaths per 1,000 live births but was 36 among those whose births were not assisted by skilled birth attendants. For the most part these patterns are similar to those in the earlier surveys.

Neonatal deaths were more common among those whose mothers had a problem accessing health care—in the 2011 survey 35 deaths per 1,000 live births compared with 26 deaths per 1,000 live births among those whose mothers did not have such problems. The pattern is consistent in all three surveys.

3.3.5 Environment and Media-Related Characteristics

In the 2011 survey the neonatal mortality rate among babies born in a household with indoor air pollution was higher (at 37 deaths per 1,000 live births) than among babies born into a household without indoor air pollution (at 27 deaths per 1,000 live births). The pattern is consistent in all three surveys. In addition, in all three surveys the neonatal mortality rate was higher for births in households without access to improved water supply and sanitation facilities—for example, in the 2011 survey 34 deaths per 1,000 live births compared with 28 in households with such improvements.

Neonatal mortality rates also were calculated according to mothers' exposure to any of the public health radio or television programming. Neonatal mortality is lower among births to those exposed to public health media (27 deaths per 1,000 live births in 2011 and 29 in 2006) than among those not exposed to public health media (37 deaths per 1,000 live births in 2011 and 35 in 2006).

Table 3.2 Neonatal mortality rate by background characteristics								
Neonatal mortality rate among	n births in the five years preced	ting the surv	evs by backa	round chara	acteristics NDH	IS 2001-2011		
		DHS	2011	DH	S 2006	DHS	S 2001	
		NNMR	[95% CI]	NNMR	[95% CI]	NNMR	[95% CI]	
Background variables								
Residence:	Urban	23	[14-39]	26	[18-37]	26	[16-41]	
	Rural	34	[27-41]	32	[27-41]	39	[33-46]	
Wealth status:	Wealthiest	27	[18-39]	24	[17-31]	33	[25-43]	
	Middle	41	[28-61]	46	[32-60]	44	[34-58]	
Oceta and attrainity	Least wealthy	33	[26-41]	33	[25-41]	39	[32-49]	
Caste and ethnicity:	Non-disadvantaged	20	[19-35]	28	[21-39]	35	[28-45]	
Mother's education:	Disauvanageu Primany or highor	20	[20-40]	30	[27-44]	40	[33-40]	
Mother's education.	No education	20	[29-50]	20	[17-31]	40	[23-44]	
Age at birth:	20-35 years	30	[23-38]	27	[22-34]	32	[26-39]	
	<20 years or >35 years	40	[29-56]	46	[33-62]	55	[45-67]	
Birth order:	2nd or 3rd	32	[23-46]	21	[15-28]	31	[25-39]	
	1st or 4th or more	33	[26-42]	41	[33-51]	43	[36-52]	
Birth spacing:	Less than two years	54	[34-87]	38	[26-55]	56	[42-74]	
	More than two years	23	[17-31]	24	[18-32]	28	[23-34]	
	First births	39	[29-51]	44	[33-59]	51	[41-65]	
Newborn care								
Birth preparedness ² : §	Better	13	[8-21]	14	[7-27]	na		
	Poorer	22	[15-31]	18	[13-25]	na		
Immediate newborn care ³ : §	Better	12	[8-19]	16	[9-26]	na		
	Poorer	18	[13-27]	13	[9-19]	na		
Proper cord care ⁴ : §	Yes	18	[13-24]	18	[13-25]	na		
	No	20	[12-32]	15	[8-27]	na		
Maternal nutrition								
BMI: X	Normal (18.5-25)	36	[26-50]	33	[26-46]	36	[30-43]	
	Low (<18.5)	35	[20-62]	31	[23-43]	47	[37-61]	
Anomio ⁵ :)(Overweight/obese (225)	21	[7-60]	21	[11-05]	10	[5-47]	
Anemia . T	NO	30	[21-01]	34	[20-40] [23-39]	na		
Stature: ¥	Normal (>145 cm)	30	[22-30]	30	[25-37]	35	[30_42]	
Stature. A	Short (<145 cm)	67	[38-119]	43	[29-65]	54	[30-42]	
Service utilization		0.	[00 110]		[20 00]		[]	
ANC 4+ visits: §	Yes	13	[8-19]	8	[4-17]	10	[5-22]	
	No	24	[16-36]	22	[16-29]	25	[20-31]	
Institutional delivery:	Yes	26	[19-36]	29	[18-46]	21	[12-36]	
	No	36	[28-47]	33	[27-41]	39	[34-46]	
Delivery by SBA ⁶ :	Yes	27	[20-37]	30	[19-47]	23	[14-36]	
	No	36	[27-46]	33	[27-40]	40	[34-46]	
Postnatal visit: §	Yes	1/	[11-27]	31	[20-50]	29	[21-42]	
Droblem in concerns	No	19	[13-27]	1/	[12-23]	40	[34-50]	
health care ⁷		20	[10-40] [28_42]	24	[10-07] [28_43]	30	[∠∪-44] [34_47]	
Other	Tes		[20-43]		[20-43]	40	[34-47]	
Indoor air pollution ⁸	Νο	27	[19-39]	23	[17-32]	15	[8-28]	
	Yes	37	[29-47]	36	[29-46]	40	[35-47]	
Improved water and	Yes	28	[19-40]	22	[12-40]	34	[25-47]	
sanitation ⁹ :	No	34	[27-43]	34	[28-42]	39	[33-46]	
Exposed to public	Yes	27	[21-35]	29	[22-40]	na		
health media:	No	37	28-471	35	27-461	na		

¹<u>Disadvantaged</u>: Hill Dalit, Terai Dalit, Hill Janajati (except for Gurung, Thakali, Magar), Terai Janajati, Other Terai Caste, or Muslim ²<u>Birth preparedness</u>: at least two of the following preparations is defined as "better" and less than two is defined as "poorer": money, transport, blood door, contact with heat two of the following preparations is defined as "better" and heast than two is defined as "poorer": money, transport, ³ <u>Immediate newborn care</u>: having all three of the following is defined as "better" and having less than three is defined as "poorer": drying,

wrapping, and delayed bathing

⁴ <u>Proper cord care</u>: use of clean instrument and applied nothing or only chlorhexidine on the cord
 ⁵ <u>Skilled birth attendants</u>: doctor, nurse, or midwife
 ⁶ <u>Anemia</u>: <12.0 g/dl for non-pregnant and <11.0g/dl for pregnant women
 ⁷ <u>Problem accessing health care</u>: difficulty due to at least one of the following: getting permission to go for treatment, getting money for treatment, distance to a health facility, and not wanting to go alone
 ⁸ Ladaee and the provide the former of the following:

⁸Indoor air pollution: cooking inside the home using solid fuel

⁹Access to improved water and sanitation: households with access to both improved drinking water and improved toilet facility

3.4 **REGRESSION ANALYSIS FOR NEONATAL MORTALITY**

Tables 3.3 shows unadjusted and adjusted odds ratios for neonatal mortality by socio-demographic characteristics, among most recent births in the five-year periods preceding the surveys. In the adjusted model, all socio-demographic characteristics presented in Table 3.3 are included⁸. Table 3.4 shows unadjusted and

⁸ The model also controls for the neonate's month of birth (coefficient not shown), in order to control for any seasonality.

adjusted odds ratios for neonatal mortality by behavioral and environmental characteristics, among *most recent births* in the five-year periods preceding the surveys. In Table 3.4, the adjusted model controls for the same socio-demographic characteristics presented in Table 3.3. The adjusted results in Table 3.4 demonstrate whether the behavioral and environmental indicators of interest remain significant predictors of neonatal death, after adjusting for key socio-demographic characteristics.

3.4.1 Background Characteristics

In the bivariate analysis, rural residence, mother's lack of education, mother's old or young age at the baby's birth, and short birth spacing are associated with greater odds of neonatal mortality in at least one survey. After adjusting for socio-demographic characteristics, only mother's lack of education remains a significant predictor of neonatal mortality, according to the 2011 survey results. Among births in the five years preceding the 2011 survey, neonates born to mothers without education have twice the odds of neonatal death, compared with babies whose mothers had at least primary education. According to both the 2001 and 2006 surveys, babies born after a short birth interval (under two years) have roughly twice the odds of neonatal death, compared with babies born after an interval of at least two years. While the associations between caste and ethnicity, birth order, and neonatal mortality are not significant, the odds ratios are in the expected direction, such that being socially disadvantaged, and being a first or high order birth, are associated with greater risk. There is no consistent pattern in neonatal mortality by the wealth status of households.

Table 3.3 Logistic rec	pression analysis for neonatal mortality by	/ socio-demo	graphic ch	naracterist	tics		
Bivariate and multivar recent births in the five	iate logistic regression results by socio-de e years preceding the surveys, NDHS 200	emographic c 01-2011	haracteris	stics for ne	eonatal m	ortality arr	iong <i>most</i>
		DH	IS 2011	DH	IS 2006	DH	S 2001
		uOR	aOR	uOR	aOR	uOR	aOR
Background variable	es						
Residence:	Urban (reference) Rural	12	10	17	13	2 /**	2.0
Wealth status:	Wealthiest (reference)	1.2	1.0	1.7	1.5	2.7	2.0
Wealth Status.	Middle	1.7	0.7	1.3	0.7	1.1	0.9
	Least wealthy	1.0	1.3	1.1	0.8	1.3	1.0
Caste and ethnicity:	Non-disadvantaged (reference) Disadvantaged ¹	1.6	1.4	1.9	1.5	1.0	1.1
Mother's education:	Primary or higher (reference) No education	1.8	1.9**	2.6**	2.7**	1.4	1.7
Age at birth:	20-35 years (reference) <20 years or >35years	1.0	1.0	1.3	1.2	1.7**	1.4
Birth order:	2nd or 3 rd (reference) 1st or 4th or more	1.1	1.2	1.5	1.4	1.5	1.6
Birth spacing:	More than two years (reference) Less than two years	1.9	1.9	2.0**	2.1**	1.6	1.8**
	First hirths	15	10	18	10	15	10

** p<0.05

uOR=unadjusted odds ratio; aOR=adjusted odds ratio (Adjusts for all background variables presented in this table, as well as month of birth)

¹ <u>Disadvantaged</u>: Hill Dalit, Terai Dalit, Hill Janajati (except for Gurung, Thakali, Magar), Terai Janajati, Other Terai Caste, or Muslim

3.4.2 Newborn Care Characteristics

In the bivariate analysis, birth preparedness and proper cord care are significantly associated with neonatal mortality in at least one survey. Babies whose mothers were poorly prepared for the birth have 1.7 times greater odds of dying in the first month of life, according to the 2011 DHS, compared with babies whose mothers were better prepared for the birth. After adjusting for socio-demographic characteristics, only proper cord care remains significantly associated with neonatal death, such that babies who did not receive proper cord care have

greater odds of dying in the first month of life; however, this association is only significant in the 2001 survey. No consistent pattern was observed between immediate newborn care and neonatal death.

3.4.3 Maternal Health Characteristics

Newborn health is affected by maternal health. We assessed the effect of maternal BMI, maternal anemia, and maternal short stature (<145 cm) on newborn survival. According to the 2011 survey, the odds of neonatal death are higher for babies whose mother is of short stature, compared with babies whose mother is of normal stature. This association remains significant after controlling for socio-demographic characteristics. While we expected neonatal mortality to be higher among anemic mothers, and among mothers with low BMI, the analysis did not provide evidence of this association.

3.4.4 Health Care Utilization

In the bivariate analysis, antenatal care, delivery in an institution, and delivery by a skilled birth attendant are significantly associated with neonatal survival in at least one survey. The association between antenatal care and neonatal mortality is particularly consistent across all three surveys. According to the 2011 survey data, after adjusting for socio-demographic characteristics, having less than four antenatal visits remains a significant predictor of neonatal death, such that babies born to mothers with fewer than four ANC check-ups have 1.8 times greater adjusted odds of dying, compared with babies whose mothers had at least four visits.

While the adjusted odds of neonatal mortality are higher among babies who were not delivered at a health institution, and among babies whose births were not assisted by skilled birth attendants according to the 2001 survey, these associations are not significant in the two more recent surveys, in 2006 and 2011. The analysis did not find a significant association between postnatal care or reported problems accessing health care and neonatal mortality; this lack of association could be due to sample size and other data limitations on these variables in the surveys.

3.4.5 Environment and Media-Related Characteristics

Exposure to indoor air pollution is significantly associated with higher risk of neonatal mortality. This association remains significant after controlling for other socio-demographic characteristics, according to the 2011 survey data, such that babies in households with indoor air pollution have twice the odds of neonatal death. In the 2011 survey a mother's exposure to public health media significantly reduces the odds of newborn death, though the association is not significant in the adjusted model. No consistent pattern was found between household access to improved water and sanitation facilities, and neonatal mortality.

		DHS 201	1	DHS 2006		DHS 2001	
		uOR	aOR	uOR	aOR	uOR	aOR
Newborn care							
Birth preparedness ¹ :	Better (reference)						
	Poorer	1.7**	1.6	1.3	1.2	na	na
Immediate newborn	Better (reference)						
care ² :	Poorer	1.5	1.7	0.8	0.6	na	na
Proper cord care ³ :	Yes (reference)						
-	No	1.1	1.0	1.2	0.7	4.5**	4.1**
Maternal nutrition							
BMI:	Normal (reference)						
	Low	1.4	1.2	1.0	0.9	1.0	0.9
	Overweight/obese	1.0	1.1	1.1	1.7	0.6	0.9
Anemia⁴:	No (reference)						
	Yes	1.0	1.23	0.9	1.0	na	na
Stature:	Normal (>145 cm) (reference)						
	Short (<145 cm)	4.6**	4.3**	1.3	1.2	1.5	1.5
Service utilization							
ANC 4+ visits:	Yes (reference)						
	No	1.9**	1.8**	2.8**	2.3	2.5**	2.1
Institutional delivery:	Yes (reference)						
	No	1.3	1.3	1.1	0.7	4.5**	3.8**
Delivery by SBA ⁵ :	Yes (reference)						
	No	1.3	1.3	1.0	0.6	3.0**	2.6**
Postnatal visit:	Yes (reference)						
	No	1.1	1.1	0.8	0.5	0.9	0.8
Problem in accessing	No (reference)						
health care6:	Yes	1.5	1.3	1.6	1.2	1.2	0.9
Other							
Indoor air pollution ⁷ :	No (reference)						
	Yes	2.0**	2.5**	1.4	1.3	na	na
Improved water	Yes (reference)						
and sanitation ⁸ :	No	1.3	1.1	1.1	0.9	1.0	0.8
Exposed to public	Yes (reference)						
hoalth madia:	No.	1 0**	15	1 2	0 0	20	n 2

Table 3.4 Logistic regression analysis for neonatal mortality by behavioral and environmental characteristics

uOR=unadjusted odds ratio; aOR=adjusted odds ratio (adjusted for area of residence, wealth status, caste and ethnicity, mother's education,

² <u>Birth preparedness</u>: at least two of the following preparations is defined as "better" and less than two is defined as "poorer": money, transport, blood donor, contact with health worker, and bought clean delivery kit

³ blood donor, contact with health worker, and bought clean delivery kit
 ³ <u>Immediate newborn care</u>: having all three of the following is defined as "better" and having less than three is defined as "poorer": drying, wrapping, and delayed bathing
 ³ <u>Proper cord care</u>: use of clean instrument and applied nothing or only chlorhexidine on the cord
 ⁴ <u>Skilled birth attendants</u>: doctor, nurse, or midwife
 ⁵ <u>Anemia</u>: <12.0 g/dl for non-pregnant and <11.0g/dl for pregnant women
 ⁶ <u>Problem accessing health care</u>: difficulty due to at least one of the following: getting permission to go for treatment, getting money for treatment, difficulty for the options.

distance to a health facility, and not wanting to go alone

⁷ <u>Indoor air pollution</u>: cooking inside the home using solid fuel ⁸ <u>Access to improved water and sanitation</u>: households with access to both improved drinking water and improved toilet facility

4.1 SUMMARY OF FINDINGS

Overall, the study indicates that after controlling for key socio-demographic characteristics, neonatal mortality was significantly associated with no maternal education, short maternal stature, fewer than four ANC visits, and indoor air pollution in 2011, and was significantly associated with short birth intervals and no maternal education in 2006. In 2001, when women were not asked about four of the factors examined in later surveys,⁹ neonatal mortality was significantly associated with shorter birth spacing, lack of proper cord care, lack of institutional delivery, and delivery by someone other than a skilled birth attendant. Over the period covered by the three surveys, some of these indicators have improved (e.g., exposure to indoor air pollution), while others have not (e.g., birth spacing).

Interpretation of these findings is difficult in some instances, such as the lack of association between postnatal care visits and neonatal mortality. Although international literature suggests that postnatal home visits can prevent 30 to 60 percent of newborn deaths (WHO/UNICEF, 2009), this analysis could not identify an impact of postnatal visits on neonatal mortality. This might be due to collinearity, data limitations, or to the actual quality of the visits. Although the proportion of newborns receiving at least one postnatal visit has increased since 2001 (from 25 to 45 percent), most of these visits are to women who delivered at health institutions. Delivery at health institutions or with assistance by a skilled birth attendant is increasing in Nepal, primarily after introduction of the *Aama* Program in 2005 and associated incentives for institutional delivery for both providers and consumers (DOHS, 2012). While institutional delivery and delivery assisted by SBAs are significantly associated with newborn mortality rates in 2001, we did not find significant associations in the 2006 or 2011 surveys. Further analysis and research are needed to explore whether increased delivery at health institutions or assisted delivery by SBAs really has an impact on newborn mortality in Nepal. Program managers should be careful to check that, in the focus on rapid service expansion, quality of care has not been compromised.

Antenatal care visits are associated with neonatal survival after adjusting for key socio-demographic characteristics; this finding is significant in the 2011 survey. Unadjusted odds ratios indicate that across all three survey years, the factor most consistently associated with neonatal mortality was having had fewer than four ANC visits, although the relationship between ANC and neonatal mortality appears to have attenuated over time. As more and more women are making four or more antenatal care visits, we can expect to see some impact on neonatal mortality in years to come. Programs should be attentive, however, to ensure that women are not getting just a perfunctory "contact" with a provider but instead are getting the "content" required by quality standards.

Maternal education is significantly associated with neonatal survival. Our analysis confirms that newborns born to a mother without education are more likely to die than those born to a mother with at least primary level of

⁹ Women were not asked about birth preparedness, immediate newborn care, indoor air pollution, and were not tested for anemia.

education, even after controlling for other socio-demographic characteristics. This confirms the observation that the health sector should collaborate with other sectors to have an impact on health outcomes.

Birth spacing is another factor associated with newborn survival. This analysis demonstrates that newborns born with less than two years of spacing have twice the odds of neonatal mortality, compared with newborns born with at least two years of spacing. Programs should focus on educating mothers and their families about the importance of birth spacing for better health outcomes for their newborn and for mothers.

This study could not establish a significant association between newborn mortality and birth preparedness, immediate newborn care, or proper cord care. These questions were only asked about the most recent birth in the past five years, which may have resulted in an overly limited sample size. Many expected that the 2011 survey would show some impact of the ongoing community-based newborn care package on neonatal mortality. However, we consider it too early to expect to see the impact of the program at the outcome level, as the program was first rolled out in a handful of districts in 2009 and had not been fully functional in many of those districts at the time of the survey. Because the newborn mortality rate is calculated for the five years preceding the survey (that is, 2006-2010 for the 2011 survey), most of the births or pregnancies actually occurred before the newborn care package interventions, thus diluting any recent effect.

The findings concerning the effect of improved water and sanitation and of exposure to public health media are not statistically significant or consistent among the surveys. In 2011, however, indoor air pollution was significantly associated with neonatal mortality, even after controlling for other key socio-demographic characteristics. The proportion of households with indoor air pollution has decreased, but efforts to save newborns from the harmful effects of indoor air pollution should be increased.

4.2 OVERALL CONCLUSION

As neonatal death is a relatively rare event and the data available in these surveys have some limitations, several associations were not statistically significant in this analysis. However, this study clearly indicates the need to promote the coverage and quality of antenatal care visits, and the need to focus on newborn care as a part of routine delivery care to promote newborn survival. Also, health programs should collaborate with non-health sectors (e.g., the education sector for mothers' literacy, the environment sector for indoor air pollution) to improve newborn survival in Nepal. Maternal stature is another factor strongly affecting newborn survival. Improvement there needs inter-generational efforts through health and nutrition interventions. Our analysis demonstrates the gap in neonatal mortality between different socio-economic groups and underlines the need for equity-focused interventions at all levels. As verbal autopsy is not a part of the 2006 and 2011 DHS surveys, we could not analyze whether there has been any change in the immediate causes of neonatal deaths in Nepal over this time frame.

4.3 **PROGRAM AND RESEARCH IMPLICATIONS**

The following are major program and research implications arising from the findings of the study:

► There is a wide gap in the rate of neonatal mortality between different socio-economic groups. To reduce neonatal mortality, programs should address areas and populations with higher neonatal

mortality rates (e.g., rural areas, households with the least wealth, and disadvantaged caste and ethnic groups).

- Neonatal health is affected by other health and non-health programs (e.g., birth spacing through family planning, mother's education through literacy programs, reduction of indoor air pollution through environmental programs). Inter-sectoral approaches would promote a synergistic effect on multiple health and development outcomes.
- ► This study focuses on distant determinants of neonatal mortality. Further research is needed to better understand the immediate causes of neonatal deaths, and the findings from such studies should be used to appropriately focus program efforts.
- ► The fact that this study did not find the expected effects of some interventions may raise concerns about the quality of some ongoing programs. Further examination of the quality and focus of those programs is needed to assure robust effects on health outcomes.

- Bhutta, Z.A., S. Cabral, C.W. Chan, and W.J. Keenan. 2012. "Reducing Maternal, Newborn, and Infant Mortality Globally: An Integrated Action Agenda." *International Journal of Gynecology and Obstetrics* 119(Suppl 1): S13-17.
- Darmstadt, G.L., Z.A. Bhutta, S. Cousens, T. Adam, N. Walker, and L. de Bernis. 2005. "Evidence-Based, Cost-Effective Interventions: How Many Newborn Babies Can We Save?" *The Lancet* 365(9463): 977-988.
- Department of Health Services (DOHS). 2012. Annual Report, Department of Health Services 2067/68 (2011/2012). Kathmandu, Nepal: DOHS, and MOHP.
- Fort, A.L., M.T. Kothari, and N. Abderrahim. 2008. Association between Maternal, Birth and Newborn Characteristics and Neonatal Mortality in Five Asian Countries. DHS Working Papers No. 55. Calverton, MD, USA: Macro International.
- Garg, P., and S. Gogia. 2009. "Reducing Neonatal Mortality in Developing Countries: Low-Cost Interventions are the Key Determinants." *Journal of Perinatology* 29(1): 74-75.
- Hodgins, S., R. McPherson, B.K. Suvedi, R.B. Shrestha, R.C. Silwal, B. Ban, S. Neupane, and A.H. Baqui. 2010. "Testing a Scalable Community-Based Approach to Improve Maternal and Neonatal Health in Rural Nepal." *Journal of Perinatology* 30(6): 388-395.
- KC, A., K. Thapa, Y.V. Pradhan, N.P. KC, S.R. Upreti, R.K. Adhikari, N. Khadka, B. Acharya, J.R. Dhakwa, D.R. Aryal, S. Aryal, E. Starbuck, D. Paudel, S. Khanal, and M.D. Devkota. 2011. "Developing Community-Based Intervention Strategies and Package to Save Newborns in Nepal." *Journal of Nepal Health Research Council* 9(2): 107-118.
- Khanal, S., J. Sharma, V.S. Gc, P. Dawson, R. Houston, N. Khadka, and B. Yengden. 2011. "Community Health Workers Can Identify and Manage Possible Infections in Neonates and Young Infants: MINI a Model from Nepal." *Journal of Health, Population and Nutrition* 29(3): 255-264.
- Kumar, V., S. Mohanty, A. Kumar, R.P. Misra, M. Santosham, S. Awasthi, A.H. Baqui, P. Singh, V. Singh, R.C. Ahuja, J.V. Singh, G.K. Malik, S. Ahmed, R.E. Black, M. Bhandari, and G.L. Darmstadt. 2008.
 "Effect of Community-Based Behaviour Change Management on Neonatal Mortality in Shivgarh, Uttar Pradesh, India: A Cluster-Randomised Controlled Trial." *The Lancet* 372(9644): 1151-1162.
- McPherson, R.A., N. Khadka, J.M. Moore, and M. Sharma. 2006. "Are Birth-Preparedness Programmes Effective? Results from a Field Trial in Siraha District, Nepal." *Journal of Health, Population and Nutrition* 24(4): 479-488.
- Ministry of Health (MOH) [Nepal], New Era, and ORC Macro. 2002. *Nepal Demographic and Health Survey 2001*. Calverton, MD, USA: MOH, New Era, and ORC Macro.

Ministry of Health (MOH) [Nepal]. 2004. Nepal Health Sector Plan (2004-2009). Kathmandu, Nepal: MOH.

Ministry of Health and Population (MOHP) [Nepal], New ERA, and ICF International. 2012. *Nepal Demographic and Health Survey 2011*. Kathmandu, Nepal: MOHP, New ERA, and ICF International.

- Ministry of Health and Population (MOHP) [Nepal], New ERA, and Macro International. 2007. *Nepal Demographic and Health Survey 2006.* Kathmandu, Nepal: MOHP, New ERA, and Macro International.
- Ministry of Health and Population (MOHP) [Nepal]. 2010. Nepal Health Sector Plan II (2010-2015). Kathmandu, Nepal: MOHP.
- Mullany, L.C., G.L. Darmstadt, S.K. Khatry, J. Katz, S.C. LeClerq, S. Shrestha, R. Adhikari, and J. Tielsch. 2006. "Topical Applications of Chlorhexidine to the Umbilical Cord for Prevention of Omphalitis and Neonatal Mortality in Southern Nepal: A Community-Based, Cluster-Randomised Trial." *The Lancet* 367(9514): 910-918.
- Pradhan, A., R.H. Aryal, G. Regmi, B. Ban, and P. Govindasamy. 1997. *Nepal Family Health Survey 1996*. Kathmandu, Nepal: MOH, New ERA, and Macro International.
- Pradhan, Y.V., S.R. Upreti, N.P. Kc, A. Kc, N. Khadka, U. Syed, M.V. Kinney, R.K. Adhikari, P.R. Shrestha, K. Thapa, A. Bhandari, K. Grear, T. Guenther, and S.N. Wall. 2012. "Newborn Survival in Nepal: A Decade of Change and Future Implications." *Health Policy and Planning* 27(Suppl 3): iii57-iii71.
- Pradhan, Y.V., S.R. Upreti, N.P. Kc, K. Thapa, P.R. Shrestha, P.R. Shedain, J.R. Dhakwa, D.R. Aryal, S. Aryal, D.C. Paudel, D. Paudel, S. Khanal, A. Bhandari, and A. Kc. 2011. "Fitting Community Based Newborn Care Package into the Health Systems of Nepal." *Journal of Nepal Health Research Council* 9(2): 119-128.
- Smith, S.L. and S. Neupane. 2011. "Factors in Health Initiative Success: Learning From Nepal's Newborn Survival Initiative." Social Science & Medicine 72(4): 568-575.
- StataCorp. 2011. Stata 12 SE. College Station, TX, USA: StataCorp. Available at http://www.stata.com
- Suvedi, B., P.B. Chand, B.R. Marasini, S. Tiwari, P. Poudel, S. Mehata, A. Pradhan, L.B. Acharya, T. Lievens, S. Hepworth, and S. Barnett. 2012. *Service Tracking Survey 2011*. Kathmandu, Nepal: MOHP.
- United Nations Children's Fund (UNICEF), World Health Organization (WHO), The World Bank, and United Nations (UN). 2012. *Levels and Trends in Child Mortality, Report 2012.* New York, NY, USA: UNICEF, WHO, the World Bank, and UN.
- United Nations Development Programme (UNDP). 2009. Nepal Human Development Report. Kathmandu, Nepal: UNDP.
- World Health Organization (WHO) and United Nations Children's Fund (UNICEF). 2012. Countdown to 2015 Maternal, Newborn and Child Survival. Washington D.C., USA: WHO, and UNICEF.
- World Health Organization (WHO) and United Nations Children's Fund (UNICEF). 2009. *Home Visits for the Newborn Child: A Strategy to Improve Survival*. Geneva, Switzerland: WHO, and UNICEF.

Annex 1

Table A.1 Distribution of reported neonatal deaths by age at death in days and the percentage of early neonatal deaths (deaths occurred at ages 0-6 days), for the five-year periods preceding the surveys (weighted), Nepal DHS 2001–2011

	Age at death (in days)						
Dav	2011	2006	2001				
<1	65	53	96				
1	28	22	22				
2	12	18	9				
3	19	14	21				
4	13	11	15				
5	7	4	15				
6	6	5	9				
7	7	1	12				
8	1	4	8				
9	3	5	7				
10	2	1	3				
11	1	3	10				
12	1	10	5				
13	1	8	1				
14	0	1	4				
15	3	2	4				
16	0	0	6				
17	1	3	1				
18	0	0	1				
19	1	2	0				
20	1	2	3				
21	1	4	1				
22	1	3	5				
23	1	0	2				
24	0	0	6				
25	4	1	4				
26	0	0	5				
27	1	4	2				
28	2	0	2				
29	0	0	1				
30	0	0	0				
Total newborn deaths	178	181	270				
Early neonatal ¹¹ deaths	150	128	187				
Early neonatal deaths (%)	85	70	69				
Deaths on day 1 (%)	37	29	36				

 $^{^{10}}$ Total "n" may not add-up due to rounding effect 11 ${\leq}6days$ / ${\leq}30days$

Annex 2 Detailed Tables

Table A2.1 Background characteristics								
Percentage distribution of all births in the five years	s preceding the su	irveys by backgi	round characte	ristics, Nepal D	HS 2001-2011			
Background	NDHS	2011	NDHS	2006	NDHS 2	001		
characteristic	Percent Nu	imber of births	Percent Ni	umber of births	Percent Numb	er of births		
Residence								
Urban	9.4	510	12.2	684	6.5	457		
Rural Ecological zone	90.6	4,918	87.8	4,906	93.5	6,587		
Mountain	8.0	433	8.7	487	7.7	542		
Hill	39.3	2,136	40.8	2,281	41.3	2,911		
Terai	52.7	2,859	50.5	2,822	51.0	3,591		
Development region								
Eastern	23.6	1,278	21.5	1,201	22.9	1,612		
Central	31.7	1,721	32.7	1,828	33.0	2,325		
Western	18.7	1,016	18.5	1,035	18.1	1,273		
Mid-western	14.8	802	12.7	710	15.2	1,073		
Far western Wealth status	11.3	611	14.6	816	10.8	761		
Least wealthy	47.5	2,580	46.9	2,622	47.5	3,344		
Middle	21.2	1,150	20.5	1,141	19.9	1,400		
Wealthiest	31.3	1,698	32.7	1,826	32.6	2,300		
Caste and ethnicity								
Disadvantaged ¹	67.2	3,648	63.6	3,557	62.2	4,379		
Non-disadvantaged	32.8	1,780	36.4	2,033	37.8	2,665		
Household size	40.0	0.400	44.0	0.007	25.4	0 470		
Small: fewer than 5 members	46.0	2,499	41.6	2,327	35.1	2,472		
Big : more than 5 members	54.0	2,929	58.4	3,203	64.9	4,572		
High	28.07	1,524	34.8	1.944	30.1	2,120		
Moderate	13.96	758	14.3	798	20.0	1,401		
Low	57.97	3,147	51.0	2,849	50.03,523			
Indoor air pollution								
Yes	57.7	3,131	69.6	393	91.43,440			
No	42.3	2,297	30.4	1,697	8.6	604		
Access to improve water and toilet facilities	22.6	1 224	12.8	717	14 1	996		
Yes	77 4	4 204	87.2	4 873	85.9	6 048		
Maternal characteristics	,,	1,201	07.2	.,	00.0	-,		
Mother's education				0.000		E 000		
No education	47.3	2,567	60.5	3,382	74.4	5,239		
Primary or higher	52.7	2,861	39.5	2,208	25.6	1,805		
20.35 years	72 5	3 936	71.3	3.987	72 4	5.098		
Younger (<25) or older (>35)	27.5	1,493	28.7	1,602	27.6	1,946		
Maternal BMI H		,						
Low BMI (<18.5)	19.6	513	25.3	1,405	25.2	1,772		
Normal BMI (18.5-25)	70.5	1,844	69.0	1,831	71.4	5,017		
Obese or overweight (>25)	9.8	257	5.7	319	3.4	242		
	37.0	078	40.4	2 231	na	na		
Anennia	62 1	1 066	-0.+ 59 6	3.288	na	na		
Maternal stature H	02.1	1,000	53.0	3,200				
Short stature (<145 cm)	12.6	331	14.3	793	15.2	1,071		
Normal stature (>145 cm)	87.4	2,286	85.7	4,763	84.8	5,960		
Use of any kind of tobacco	07 4	. =00	<u> </u>	4 407	70 7	E 404		
No	87.1	4,726	80.4	4,497	/3./	3,191		
Yes Child and hirth charactoristics	12.9	702	19.6	1,095	26.3	1,000		
Sex								
Female	48.6	2,636	49.2	2,752	50.5	3,557		
Male	51.4	2,792	50.8	2,838	49.5	3,487		
Birth order	04.0	4.0.40		1 607	00.0	1 677		
First	34.0	1,843	30.2	1,087	23.8	1,0//		
Second or third	43.9 22.4	2,382	42.3	2,307	39.9	2,000		
Fourth or more	22.1	1,203	C.12	1,000	30.3	2,009		
More than five years	17.7	632	11.9	463	9.4	505		
Two to five years	61.0	2,184	66.2	2,578	67.7	3,627		
Less than two years	21.3	762	21.9	853	22.9	1,226		
Size at birth								
Average or bigger	84.0	4,486	80.8	4,437	78.8	5,457		
Small or very small	16.0	857	19.2	1,054	21.2	1,464		
birth preparedness and immediate newborn care								
Birth preparedness ² §								
Better	42.5	1,743	21.6	862	na	na		
Poorer	57.5	2,357	78.4	3,238	na	na		

Table A2.1 Background characteristics									
Percentage distribution of all births in the five years preceding the surveys by background characteristics. Neoal DHS 2001-2011									
Background	Ň	DHS 2011	NDHS 2006 NE			DHS 2001			
characteristic	Percent	Number of births	Percent	Number of births	Percent	Number of births			
Immediate newborn care ³ §									
Better	48.72	1,974	25.7	1,018	na	na			
Poorer	51.28	2,077	74.3	2,949	na	na			
Proper cord care ⁴ §									
Clean cord care	52.0	2,155	34.3	1,393	na	na			
Unclean cord care	48.0	1,989	65.7	2,664	na	na			
Initiation of breastfeeding				(070	na	na			
Within one hour of hirth	39.7	1 612	49.6	1978	42.6	1,975			
Mara than one hour	60.3	2 448	50.4	2.006	57.4	2.665			
Health care seeking and utilization	00.0	2,110	00.1	_,	07.1	_,			
Antenatal care visits §	50.0	0.000	00 F	1 1 0 0	44.0	669			
Four or more visits	50.3	2,063	29.5	1,102	14.3	000			
Fewer than four visits	49.7	2,037	70.5	2,031	85.7	4,010			
Protection by tetanus toxoid §	70.0	0.000	00.0	2 550	45.4	0 1 1 7			
Yes	72.3	2,966	63.8	2,009	45.1	2,117			
No	27.7	1,134	36.2	1,404	54.9	2,574			
Received iron tablets §	0	0.000	oo 7	1 1 5 1		000			
More than 90 tablets	55.9	2,293	28.7	1,151	5.7	200			
None or fewer than 90 tablets	44.1	1806	/1.3	2,002	94.3	4,421			
Received deworming tablets §	4	0.000	00.0	904		20			
Yes	55.1	2,260	20.0	2 200	na	na			
No	44.9	1,840	80.0	5,209	na	lid			
Overall ANC care §	00.0	004	10	F2	20				
Good ANC	20.8	864	1.3	4 004	lid no	na			
Poor ANC	79.2	3,280	98.7	4,004	lid	na			
Delivery assisted by skilled birth attendant	05.0	1010	40 7	1 0 2 9	10.0	750			
Yes	35.9	1918	18.7	1,028	10.8	750			
No	64.1	3,424	81.3	4,404	89.2	0,172			
Delivery at health institution	05.0	4 000	47 7	071		625			
Yes	35.2	1,880	17.7	971	9.0	6 206			
No	64.8	3,463	82.3	4,552	91.0	0,290			
Postnatal check for newborn within 3 days) §	00.0	4 000	4.0	139	45.0	703			
Yes	29.8	1,222	4.3	2 004	15.3	123			
No	70.2	2,878	95.7	5,094	84.7	4,005			
Barriers to access to health care	70.0	4.045	77 F	4 220	05.5	6 020			
Yes	78.2	4,245	//.5	4,330	85.5	0,020			
No Exposure to modia	21.8	1,183	22.5	1,200	14.5	1,024			
Exposed to general media									
At least weekly	54 5	2 959	32.4	1,811	42 0	2,957			
Less than weekly	45.5	2,469	67.6	3,778	58.0	4,087			
Exposed to public health media		_,	00	-,	50.0	,			
At least weekly	40.2	2,183	49.7	2,776	na	na			
Less than weekly	59.8	3,245	50.3	2,814	na	na			
		0,210	× = ·	,					

 ¹Disadvantaged:
 Hill Dalit, Terai Dalit, Hill Janajati (except for Gurung, Thakali, Magar), Terai Janajati, Other Terai Caste, or Muslim

 ²Birth preparedness:
 at least two of the following preparations is defined as "better" and less than two is defined as "poorer": money, transport, blood donor, contact with health worker, and bought clean delivery kit

 ³Immediate newborn care:
 having all three of the following is defined as "better" and having less than three is defined as "poorer": drying, wrapping, and delayed bathing

 ⁴Proper cord care:
 use of clean instrument and applied nothing or only chlorhexidine on the cord

 ⁵Skilled birth attendants:
 doctr, nurse, or midwife

<u>Skilled birth attendants</u>: doctor, nurse, or midwile ⁶<u>Anemia</u>: <12.0 g/dl for non-pregnant and <11.0g/dl for pregnant women ⁷<u>Problem accession bealth care</u>: difficulty due to at least one of the fr

Problem accessing health care: difficulty due to at least one of the following: getting permission to go for treatment, getting money for treatment, distance to a health facility, and not wanting to go alone ⁸ Indoor air pollution: cooking inside the home using solid fuel

⁹ Access to improved water and sanitation: households with access to both improved drinking water and improved toilet facility

Table A2.2 Neonatal mortality by background characteristics											
Neonatal mortality rate (NMR) among all births in the five years preceding the surveys, by background characteristics, Nepal DHS 2001-2011											
Background	NDHS 2011		NDHS 2006		NDHS 2001						
characteristic	NMR	95% CI	NMR	95% CI	NMR	95% CI					
Household characteristics											
Residence											
Urban	23	14-39	26	18-34	26	16-41					
Rural	34	27-41	33	27-41	39	34-46					
Ecological zone	20		50	00.70	- 4	20.00					
Mountain	38	24-60	50 26	32-78 19-35	32	39-68 25-41					
HIII Terai	32	23-45	35	26-46	41	33-51					
Development region											
Eastern	30	21-41	33	23-47	46	35-61					
Central	37	24-58	28	19-43	38	28-51					
Western	32	23-45	32	21-51	28.	20-41					
Mid-western	25	18-35	47	32-71	30 50	19-46					
Far-western	50	27-55	20	10-40	50	40-04					
Wealth status	33	26-41	33	25-43	39	32-49					
Middle	41	28-61	46	32-66	44	34-58					
Wealthiest	27	18-39	24	17-33	33	25-43					
Caste and ethnicity											
Disadvantaged ¹	36	28-46	35	27-44	40	33-48					
Non-disadvantaged	26	19-35	28	21-39	35	28-45					
Household size	07		24		54	10.00					
Small: fewer than 5 members	37	29-46	34 31	26-44	51 31	42-63					
Big : more than 5 members	25	22-39	51	25-40.	51	20-30					
Altitude	36	28-46	30	21-46	35	28-43					
Moderate	34	24-47	34	24-48	33	21-52					
Low	31	23-43	34	25-45	42	34-52					
Indoor air pollution											
Yes	37	29-47	36	29-46	40	35-47					
No	27	19-39	23	17-32	15	08-28					
Access to improve water and toilet facilities	20	10.10	22	10.10	24	05 47					
Yes	20	19-40	34	12-40	34 30	25-47					
NO Maternal characteristics		27-43	04	21-42	00	33-40					
Mother's education											
No education	38	29-50	39	31-49	40	34-47					
Primary or higher	28	21-37	23	17-31	33	25-44					
Maternal age at current birth			07								
20-35 years	30	23-38	27	22-34	32	26-39					
Younger (<25) or older (>35)	40	29-56	40	33-62	55	45-68					
Maternal BMI //	35	20-62	31	23-43	47	37-61					
LOW BIVII (< 16.5) Normal BMI (18.5-25)	36	26-50	33	26-41	36	30-43					
Obese or overweight (>25)	21	7-60	27	11-65	16	5-47					
Maternal anemia ⁶ \mathcal{H}											
Anemia	31	21-44	30	23-38	na	na					
No anemia	36	21-61	34	26-46	na	na					
Maternal stature ∺	07		40	~~~~	54						
Short stature (<145 cm)	67 30	38-119	43 30	29-65	54 35	41-72					
Normal stature (>145 cm)	00	22-39	00	25-57	00	30-42					
Use of any kind of tobacco	33	27-42	32	26-40	37	32-45					
Yes	28	19-42	34	23-50	40	30-53					
Child and Birth Characteristics											
Sex											
Female	35	27-44	33	26-42	32	26-40					
Male	31	23-41	31	24-40	44	36-54					
Birth order	20	00.54	4.4	00.50	E1	44.05					
First	39	29-51	44 21	33-59	31	41-05					
Second or third Fourth or more	24	17-36	38	27-52	38	29-49					
Birth spacing											
Less than two years	54	34-87	38	26-55	56	42-74					
More than two years	23	17-31	24	18-32	28	23-34					
First births	39	29-51	44	33-59	51	41-65					

Table A2.2 Neonatal mortality by background characteristics									
Neonatal mortality rate (NMR) among all births in the five	five years preceding the surveys, by background characteristics, Nepal DHS 2001-2011								
Background	NDHS 2011		NDHS 2006		NDHS 2001				
	NMR	95% CI	NMR	95% CI	NMR	95% CI			
Size at birth									
Average or bigger	29	23-38	26	21-34	32	27-39			
Small or very small	51	38-70	57	43-77	00	46-74			
Birth preparedness and immediate newborn care									
Birth preparedness § ²	13	0.01	14	7 07	na	20			
Better	22	0-21 15-31	18	13-25	na	na			
		10 01	10	10 20		na			
Better	12	8-19	16	9-26	na	na			
Poorer	18	13-27	13	9-19	na	na			
Proper cord care ⁴									
Clean cord care	20	13-29	17	12-23	na	na			
Unclean cord care	17	12-24	20	12-31	na	na			
Initiation of breastfeeding									
Within one hour of birth	12	08-18	19	13-27	24	18-30			
More than one hour	7	04-12	10	6-18	21	16-28			
Health care seeking and utilization									
Antenatal care visits §									
Four or more visits	13	08-19	8	4-17	10	5-22			
Fewer than four visits	24	16-36	22	16-30	25	20-31			
Protection by tetanus toxoid §					10				
Yes	14	9-22	11	7-16	18	13-25			
No	20	19-41	30	21-42	21	20-36			
Received iron tablets §	15	11 00	1	0.6	23	10.20			
Nore than 90 tablets	22	11-22	19	14-25	No	no death			
Passived deverming tablets				11 20		no douti			
	13	09-19	17	9-31	na	na			
No	24	15-38	18	14-24	na	na			
Delivery assisted by skilled birth attendant ⁵									
Yes	15	10-23	30	19-47	23	14-36			
No	20	14-29	33	27-40	40	34-46			
Delivery at health institution									
Yes	15	10-24	29	18-46	21	12-36			
No	20	14-29	33	27-41	39	34-46			
Postnatal check for newborn within 3 days) \S	10		10		00				
Yes	16	08-31	42	16-115	29	21-42			
No Barriers to access to health care	19	14-26	17	12-23	40	34-50			
Yes	26	16-43	24	16-37	30	20-44			
No	35	28-43	35	28-43	40	34-47			
Exposure to media									
Exposed to general media	31	25-30	34	21-10	35	28-44			
AL LEAST WEEKLY	34	25-39	32	24-49	41	34-49			
Evansed to public health media		20 40		20 00		01.10			
At least weekly	27	21-35	29	22-40	na	na			
Less than weekly	37	28-47	35	27-46	na	na			

¹<u>Disadvantageci</u> Hill Dalit, Terai Dalit, Hill Janajati (except for Gurung, Thakali, Magar), Terai Janajati, Other Terai Caste, or Muslim ²<u>Birth preparedness</u>: at least two of the following preparations is defined as "better" and less than two is defined as "poorer": money, transport, blood donor, contact with health worker, and bought clean delivery kit ³<u>Immediate newborn care</u>: having all three of the following is defined as "better" and having less than three is defined as "poorer": drying,

<u>Immediate newborn care</u>: having all three of the following is defined as "better" and having less than three is defined as "poorer": drying, wrapping, and delayed bathing
 <u>Proper cord care</u>: use of clean instrument and applied nothing or only chlorhexidine on the cord
 <u>Skilled birth attendants</u>: doctor, nurse, or midwife
 <u>Anemia</u>: <12.0 g/dl for non-pregnant and <11.0g/dl for pregnant women
 <u>Problem accessing health care</u>: difficulty due to at least one of the following: getting permission to go for treatment, getting money for treatment, distance to a health facility, and not wanting to go alone
 <u>Indoor air pollution</u>: cooking inside the home using solid fuel
 <u>Access to improved water and sanitation</u>: households with access to both improved drinking water and improved toilet facility

§ Among most recent births
% Anthropometry was collected in every second household in the 2011 survey, so estimates are based on a subset of all women